GENERAL PROVISIONS
FOR CORPORATE PROFESSIONAL SERVICES UNDER
U.S. DEPARTMENT OF ENERGY
PRIME CONTRACT NO. 89303321-CEM-00080
BATTELLE SAVANNAH RIVER ALLIANCE LLC,
SAVANNAH RIVER SITE
AIKEN, SC 29808

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ARTICLE 1

ARTICLE APPLIES REGARDLESS OF SUBCONTRACT PRICE

1.1. **DEFINITIONS**

Whenever used in this document with initial capitalization, the following definitions shall be applicable unless the context indicates otherwise:

BSRA	Shall mean Battelle Savannah River Alliance, LLC.
BSRA Procurement Specialist	Shall mean a person with the authority to execute, administer, and terminate the Subcontract and make related determinations and findings. The term includes certain authorized representatives of BSRA acting within the limits of their authority as delegated by the BSRA Procurement Specialist.
Contracting Officer	shall mean the Government official executing the Prime Contract No. 89303321-CEM-0000800 between BSRA and DOE. The Contracting Officer is the Government Official who is authorized to execute, administer, and terminate the contract, and includes the authorized representatives thereof, when such individuals are acting within the limits of their authority as delegated by the Contracting Officer.
DOE	shall mean the United States Department of Energy or any duly authorized representative thereof, including any successor or predecessor agency thereof, including the Contracting Officer.
General Provisions	shall mean these general provisions for Corporate Professional Services under U. S. Department of Energy Prime Contract No. 89303321-CEM-000080.
“Head of the agency” or “Secretary”	shall mean the Secretary, the Under Secretary, and Assistant Secretary, or any other head or assistant head of the executive or military department or other Federal agency.
Prime Contract	means the contract (Prime Contract No. 89303321-CEM-000080) entered into by BSRA with the United States.
Secretary	means the Secretary of the U.S. Department of Energy; and the term “designee and duly authorized representative” mean any person or board (other than the Contracting Officer) authorized to act for the Secretary.
Subcontractor	means the person or organization that has entered into this Subcontract. Subcontractor may also be ref erred to herein as “Seller.” The term Subcontractor also includes any supplier who supplies goods or services to BSRA in support of BSRA’s Prime Contract.

1.2. **GENERAL**

A. The Subcontractor has no authority whatever, express or implied, by virtue of the Subcontract to commit BSRA in any way to perform in any manner or to pay money for service or material.

B. The Subcontract will be void and without binding effect on BSRA if the Subcontract covers named individuals and any individual named herein is a candidate for federal, state or local political office or holds any such office, unless and until it has been separately approved by BSRA’s General Counsel, BSRA, or BSRA designee.

C. The whole and entire agreement of the parties is set forth in the Subcontract and the schedules executed pursuant hereto (which are hereby incorporated herein and made a part hereof as executed) and the parties are not bound by any agreements, understandings or conditions otherwise as expressly set forth herein or in any schedule incorporated herein.

D. The terms of the Subcontract and of any schedule executed pursuant hereto and incorporated therein are to be read and interpreted, if possible, so that there is no conflict between them. To the extent that there is a conflict, the terms shall be interpreted according to the order precedence in Paragraph G below.

E. Neither the Subcontract nor any schedule incorporated herein may be changed or modified in any manner except by a writing mutually signed by the parties or their respective successors or permitted assigns.

F. The Subcontract and all schedules incorporated therein will inure to the benefit of the parties and their respective successors or permitted assigns.

G. In the event of an inconsistency or conflict between provisions of this Subcontract, the inconsistency or conflict shall be resolved by giving precedence as follows:

(1.) The terms specified on the face of the schedule, purchase order, subcontract, or ordering document issued by BSRA

(2.) These General Provisions of this Subcontract

(3.) Statement of Work and Services; and

(4.) budget. Foreign travel is defined as any travel outside of the United States and its territories and possessions.

H. Wherever references are made in this Subcontract to standards or codes in accordance with which the work under this Subcontract is to be performed, the edition or revision of the standards or codes current on the effective date of this Subcontract shall apply unless otherwise expressly stated in the specifications and drawings. In case of conflict between any reference standards and codes and any Subcontract document, the latter shall govern.

1.3. **TECHNICAL DIRECTION**

A. The performance of the work required under the Subcontract shall be subject to the technical direction and surveillance of the person(s) specified in paragraph B of this Section.

B. As used herein "technical direction" is direction to the Subcontractor, which provides the details, requires pursuit of certain lines of inquiry, or otherwise serves to accomplish the contractual statement of work. The technical direction to be valid:

(1.) Must be issued in writing consistent with the general scope of the work set forth in the Subcontract;

(2.) May not constitute new assignment of work or change to the expressed terms, conditions or specifications incorporated into the Subcontract or the Subcontractor's rights thereunder;

(3.) Shall not constitute a basis for any increase in the Subcontract fee or extension to the Subcontract delivery schedule.

C. Nothing contained in this Section authorizes the Subcontractor to incur costs in excess of the estimated cost or other limitation on funds set forth in the Subcontract.

D. The STR/End User of BSRA shall technically direct the work under the Subcontract.

1.4. **INDEPENDENT CONTRACTORS**

A. In the performance of the work and services hereunder, the Subcontractor will act solely as an independent contractor, and nothing herein contained or implied will at any time be so construed as to create the relationship of employer and employee, partnership, principal and agent, or joint venture as between BSRA and the Subcontractor, and neither Party shall have the right, power, or authority to obligate or to bind the other in any manner whatsoever, except as otherwise agreed to in writing by the Parties. The manner and method of implementing and completing any work to be performed hereunder will be left to Subcontractor control and professional judgment. Subcontractor's personnel who are to perform the services shall be under the employment, and ultimate control, management, and supervision of Subcontractor. It is understood that BSRA has no obligation under local, state, or federal laws regarding the Sub contractor or any employees, agents, or subtier subcontractors employed by the Subcontractor and that the total commitment and liability of BSRA in regard to any arrangement or work performed hereunder is to pay the fees and expenses pursuant to the provisions hereof . The Subcontractor is responsible for the withholding and payment of all Federal, State and Local income, unemployment, F.I.C.A. or wage taxes and all amounts required for Workers/Workmen's Compensation Act or industrial insurance coverage and any and all other taxes of assessments required to be paid by the Subcontractor as an employer. Subcontractor shall indemnify, defend, and hold BSRA harmless from any claims or liabilities, including reasonable attorney's fees and costs of litigation to the extent caused by a breach of said responsibilities by Subcontractor.

1.5. **SELECTION OF PERSONNEL**

A. When personnel categories or classifications are listed, the Subcontractor must provide resumes of individuals proposed to be furnished and obtain the written approval of the BSRA Procurement Specialist prior to furnishing or utilizing such personnel under the Subcontract. In addition, at the option of BSRA, personal interviews may be required prior to utilizing any individual under the Subcontract. BSRA shall not be obligated to reimburse the Subcontractor for the services of any individual utilized without complying with this requirement.

B. When individuals are listed by name, no substitution will be acceptable without a formal written modification to the Subcontract.

1.6. **PAYMENT**

(1.) Payment will be made once monthly upon the receipt of a satisfactory invoice specifying the job classification(s) and/or individual(s) charged, the days or portions (in increments hour) thereof spent by each in rendering the services described in the Subcontract, and a copy of a detailed expense report from each individual charged, accompanied by receipts for charges in excess of $25.00, detailing travel and living expenses where travel was involved or other expenses were incurred. Subcontractor shall issue electronically all invoices directly to Accounts Payable via the **ACCOUNTSPAY-BSRA@srnl.gov** email account. Subcontractor shall include banking information on each invoice submitted to facilitate proper EFT.

(2.) The Subcontractor shall include on the invoice:

(a.) The Subcontractor name;

(b.) Invoice Dates;

(c.) Subcontract/purchase order number; vendor invoice number, account number, and/or any other identifying number agreed to by Subcontract;

(d.) description (including, for example, subcontract line/subline number), unit price and quantity of goods and services rendered per specific line item and line item sub-total cost;

(e.) title and telephone number; other substantiating documentation or information required by the subcontract.

(3.) If there are invoice discrepancies, BSRA will relay to the Subcontractor the deficiencies in their invoice within ten (10) days of receipt of the invoice. The invoice will not be acted upon. Receipt of a corrected invoice will reinitiate the aging of the invoice for payment purposes.

B. BSRA may withhold final payment hereunder until patent clearances covering the Subcontractor under the Subcontract have been granted by the Department.

C. Overpayments

(1.) If Subcontractor becomes aware of a duplicate invoice payment or that BSRA has otherwise overpaid on an invoice payment, the Subcontractor shall immediately notify BSRA and request instructions for disposition of the overpayment.

1.7. **PAYMENT BY ELECTRONIC FUNDS TRANSFER**

A. Methods of Payment

(1.) All payments by BSRA under this Subcontract shall be made by Electronic Funds Transfer ("EFT") except as provided in paragraph A.(2) of this Section. As used in this Section, the term "EFT" refers to the funds transfer and may include the payment information transfer.

(2.) In the event BSRA is unable to release one or more payments by EFT, Contractor agrees to either:

(a.) Accept payment by check or some other mutually agreeable method of payment; or

(b.) Request BSRA to extend payment due dates until such time as BSRA makes payment by EFT.

B. Mandatory Submission of Subcontractor's/Supplier's EFT Information

(1.) Subcontractor is required to provide BSRA with the information required to make payment by EFT. Subcontractor shall provide this information directly to the office designated in this Subcontract, on forms provided by BSRA, no later than fifteen (15) days after award. If not otherwise specified in this Subcontract, the payment office is the designated office for receipt of Subcontractor's EFT information. In the event that the EFT information changes, Subcontractor shall be responsible for providing the updated information to the designated office.

C. Mechanisms for EFT Payment

(1.) BSRA may make payment by EFT through either the Automated Clearing House (ACH) network, subject to the rules of the National Automated Clearing House Association, or the Fedwire Transfer System.

D. Suspension of Payment

(1.) BSRA is not required to make any payment under this Subcontract until after receipt, by the designated office, of the correct EFT payment information from Subcontractor. Until receipt of the correct EFT information, any invoice

or subcontract-financing request shall be considered an incorrect invoice for the purpose of payment under this Subcontract.

(2.) If the EFT information changes after submission of correct EFT information, BSRA shall begin using the changed EFT information no later than thirty (30) days after its receipt by the designated office. However, Subcontractor may request that no further payments be made until the updated EFT information is implemented by the payment office.

E. Payment Information

(1.) On the day payment on Subcontractor's/Supplier's invoice is due; BSRA will issue instructions to its bank to transfer payment to Subcontractor, and will also send a FAX to Subcontractor explaining the details to support the payment.

F. Liability for Uncompleted or Erroneous Transfers

(1.) If an uncompleted or erroneous transfer occurs because BSRA used the Subcontractor's/Supplier's EFT information incorrectly, BSRA remains responsible for –

(a.) Making a correct payment; and

(b.) Recovering any erroneously directed funds.

(2.) If an uncompleted or erroneous transfer occurs because Subcontractor's/Supplier's EFT information was incorrect, or was revised within thirty (30) days of BSRA release of the EFT payment transaction instructions to the bank, and –

(a.) If the funds are no longer under the control of the payment office, BSRA is considered to have made payment and the Subcontractor's/Supplier is responsible for recovery of any erroneously directed funds; or

(b.) If the funds remain under the control of the payment office, BSRA shall not make payment and the provisions of paragraph D shall apply.

1.8. **PROFESSIONAL CONDUCT**

A. The Subcontractor certifies that as of the effective date of this Subcontract, there does not exist any actual or potential conflict between its private interests, including corporate stock holdings, and its services under the Subcontract for BSRA, including a situation where the Subcontractor is working for or advising a company performing work or proposing to perform work for BSRA which relates to the work of the Subcontractor. The Subcontractor will, in the event of a change in either its private interests or services under the Subcontract, discuss with BSRA any possible conflict of interests that may arise as a result of such change.

B. The Subcontractor warrants that any personnel provided under the Subcontract are not an employee of an organization and concurrently performing work on a full-time annual basis for that organization under a cost-type contract with the DOE, and that they will not accept such employment during the term of the Subcontract unless approved in writing by BSRA. For breach or violation of this warranty, BSRA shall have the right to terminate the Subcontract without liability and the Subcontractor agrees to repay any and all remuneration received under the Subcontract.

C. Prior to entering the Subcontract, the Subcontractor agrees to give notice to BSRA of any other firm or firms with whom it has existing professional service agreements, and agrees to give immediate notice of any other firm or firms with whom enters into professional service agreements during the life of the Subcontract.

D. The Subcontractor certifies that it has no other agreements in regard to inventions and discoveries in effect with an employer or with anyone else which will conflict with the conditions of the Subcontract.

E. The Subcontractor agrees to be bound by and to comply with BSRA rules of conduct while on BSRA premises including the policy on reporting employee concerns and will require all personnel to be bound by and to comply with such rules also.

F. All obligations required of the Subcontractor under this Section (e.g., "certification", "agreement", etc.,) shall additionally be required of all personnel provided under the Subcontract.

1.9. **ASSUMPTION OF RISK AND INDEMNIFICATION**

A. Assumption of Risk

(1.) The Subcontractor assumes all risk of property loss, of damage and of personal injury or death that may be sustained by the Subcontractor's employees and/or subtier subcontractors as a result of performing the work and services required under the Subcontract. The Subcontractor also assumes entire responsibility and liability for

losses, expenses, damages, demands, and claims by third parties arising out of any injury or including death or alleged injury of any person, or damage or alleged damage to property, sustained or alleged to have been sustained as a result of or arising out of the fault or negligence of employees and/or subtier subcontractors in the performance of the work or services.

B. Indemnification

(1.) The Subcontractor will indemnify and save harmless BSRA, the Government, its agents and employees, from and against any and all claims, demands, actions, suits, damages, expenses, including attorney's fees, and liabilities whatsoever including but not limited to actions and claims brought under a Worker's/Workmen's Compensation Act or industrial insurance statute provided however that nothing herein shall require the indemnification for injury or death or damage to property caused by the negligence of BSRA or the Government.

C. Insurance

(1.) The Subcontractor shall procure and thereafter maintain at its own expense, the following insurance:

(a.) Workers' Compensation and Employer's Liability.

Limits of Liability: Workers Compensation: Statutory limits in the jurisdiction wherein the work is to be performed.

Employer's Liability: A minimum of $1,000,000.

(b.) Comprehensive general liability including bodily injury and property damage.

Limits of Liability: A minimum of $1,000,000 Combined Single Limit.

Endorsements: BSRA and the Government to be endorsed as Additional Insured.

Contractual Liability including all coverage endorsed on the basic policy.

(c.) Automobile Liability including Bodily Injury and Property Damage including All Owned, Non-Owned and Hired. Limits of Liability: $1,000,000 Combined Single Limit

Note: All personnel operating motor vehicles at SRS must have a valid driver's license, vehicle registration and proof of insurance (regardless of state of origin). Anyone not having these documents is subject to being denied access to SRS and, if in violation of a law, being cited for the violation.

(2.) Certificates of insurance providing evidence that the requirements of this Section have been met shall be furnished to BSRA before work is commenced with respect to high hazardous performance under this Subcontract, (Ref . OSR 1-183). In addition, a copy of the policy endorsement for Comprehensive General Liability insurance (Ref . paragraph C. (1) (b.) above), naming BSRA and the Government as "Additional Insured", shall be submitted with the certificate of insurance. Provisions shall be made for thirty (30) days advance notice by mail to BSRA of change in or cancellation of such insurance. Certificates shall be issued by insurance carriers satisfactory to BSRA.

(3.) With respect to all other services, Statements of Certification of insurance evidencing that the requirements of this Section have been met shall be furnished to BSRA before work is commenced. In addition, a Statement of Endorsement for Comprehensive General Liability insurance (Ref . paragraph C. (1) (b.) above), naming BSRA and the Government as "Additional Insured", shall be submitted with the certificate of insurance. Provisions shall be made for thirty (30) days advance notice by mail to BSRA of change in or cancellation of such insurance. Certificates shall be issued by insurance carriers satisfactory to BSRA.

(4.) In the event the Subcontractor fails to furnish such Certificates of Insurance, as required in paragraph 2 above, prior to commencement of work or to continue to maintain such insurance during the performance of the Subcontract, BSRA shall have the right to stop work and/or to withhold any payments or partial payments required to be made under the Subcontract; and shall have the right to continue withholding any or all of said payments so long as the Subcontractor has not complied with the requirements of this Section.

(5.) On subcontracts involving blasting or other hazardous operations, the Subcontractor's insurance shall specifically state that all blasting or such other hazardous operations are fully covered.

D. Subcontractor agrees to comply with and require its subtier subcontractors to comply with all applicable laws, rules, and regulations with respect to state industrial insurance or Workers/Workmen's Compensation, occupational disease, occupational safety and health, or withholding and payment of social security and federal and state income taxes. Subcontractor further agrees to indemnify BSRA and the Government against, and to save and hold harmless BSRA and the Government from, any and all liability and expense with respect to claims against BSRA or the Government that may result from the failure or alleged failure of Subcontractor or of any of its subtier subcontractors to comply therewith.

1.10. **WORKMANSHIP AND MATERIALS**

A. Unless this Subcontract specifies otherwise, the Subcontractor represents that all workmanship, supplies and components, including any former Government Property identified in this Subcontract are new, including recycled (not used or reconditioned) in conformance with industry standards and are not of such age or so deteriorated as to impair their usefulness or safety. The Subcontractor shall not provide material or equipment that contains material that is known to be suspect or counterfeit (see paragraph E below). If the Subcontractor believes that furnishing used or reconditioned supplies or components will be in the Government's interest, the Subcontractor shall so notify the BSRA Procurement Specialist in writing. The Subcontractor's notice shall include a proposal for consideration by BSRA that states the reason for the request to use reconditioned or used supplies or components.

B. Wherein the specifications items are ref erred to as "equal to" any particular standard, BSRA shall decide the question of equality.

C. If required elsewhere in this Subcontract, Subcontractor shall submit for approval samples of, or test results on, any materials proposed to be incorporated in the work before making any commitment for the purchase of such materials. Such approval shall not relieve Subcontractor of any of its obligations hereunder.

D. The Subcontractor shall perform all work under this Subcontract in a skillful and workmanlike manner by agreeing to utilize only experienced, responsible and capable employees, to include subtier subcontractor, in the performance of the work. BSRA may require that the Subcontractor remove from the job, employees to include subtier subcontractor, who endanger persons or property, or whose continued employment under this Subcontract is inconsistent with the interests of security or safety at the Savannah River Site (SRS).

E. Suspect or Counterfeit Parts

(1.) Subcontractor shall supply products under this Subcontract that are not and do not contain suspect and/or counterfeit parts. A suspect item is an item in which there is an indication by visual inspection, testing, or other information that it may not conform to established government or industry accepted specifications or national consensus standards or whose documentation, appearance, performance, material, or other characteristics may have been misrepresented by the vendor, supplier, distributor, or manufacturer. A counterfeit item is any item that is a copy or substitute without legal right or authority to do so, or one whose material, performance, characteristics or identity does not appear to be authentic and is verified to be either counterfeit or fraudulent or have been misrepresented. Failure by the Subcontractor to document material substitution or identify that an item has been refurbished or remanufactured is considered to be fraud, and the item then becomes suspect/counterfeit.

(2.) Procurement Specialist in writing. The Subcontractor's notice shall include a proposal for consideration by BSRA that states the reason for the request to use reconditioned or used supplies or components.

1.11. **WARRANTY**

A. Subcontractor warrants that any supplies delivered in connection with the work and services performed shall be free from defects in material and WORKMANSHIP, of the most suitable grade of their respective kinds for the purpose, and comply with all requirements set forth in this Subcontract, until one (1) year after confirming supplies are first placed into service by BSRA, or three years after final payment, whichever first occurs. Subcontractor shall correct any nonconformity with this warranty at its sole expense, as directed by BSRA, by promptly:

(1.) repairing or replacing the nonconforming supplies specified (and correcting any plans, specifications, or drawings affected);

(2.) furnishing BSRA any materials, parts, and instructions necessary to correct or have corrected the nonconformity, or

(3.) paying to BSRA a portion of the Subcontract price as is equitable under the circumstances.

B. Subcontractor warrants that the services shall reflect the industry standards of professional knowledge and judgment, shall be free from defects in workmanship, and shall be in compliance with all requirements of this Subcontract, until one (1) year from the completion of the services, or three (3) years after final payment, whichever first occurs. Subcontractor shall correct any nonconformity with this warranty at its sole expense, as directed by BSRA, by promptly

(1.) re-performing the nonconforming services or

(2.) paying to BSRA a portion of the Subcontract price as is equitable under the circumstances.

C. If Subcontractor fails to perform its obligations promptly under this Section, BSRA may perform, or have performed such obligations and Subcontractor shall pay BSRA all charges occasioned thereby.

D. The warranty with respect to corrected supplies or services shall be subject to the same terms as the warranty provided for in paragraphs A and B of this Section. The warranty for other than corrected or replaced supplies or services shall continue until

the expiration of such period and a period equal to the time elapsed between the discovery of the nonconformity and its correction.

E. Unless installation is an element of the work, Subcontractor shall not be obligated under this Section for the costs of removal or reinstallation of any supplies furnished or items serviced hereunder from the location of their installation, or for the costs of removal or reinstallation of structural parts or items not furnished by Subcontractor hereunder. Subcontractor shall in any event bear all packing, packaging, and shipping costs from the place of delivery to the Subcontractor's plant and return to the place of delivery, and shall bear all risk of loss or damage for the items upon which services have been performed or supplies while in transit.

F. Unless decontamination is an element of the work, in the event that Subcontractor's costs in correcting any nonconformity under this section are increased solely because the supplies furnished or items Serviced hereunder must be decontaminated to the level specified in the definition of "radiation area" in 10 CFR 20.202, this Subcontract price shall be equitably adjusted to reflect such additional costs after prompt written notification thereof by Subcontractor to BSRA.

G. The provision of this section shall apply notwithstanding inspection, acceptance, or any other provision of this Subcontract, and shall not limit any other of BSRA's rights and remedies.

H. Implied Warranties. The Subcontractor warrants and implies that the products, supplies, items, or services delivered hereunder are merchantable and fit for use for the particular purpose described in this Subcontract.

I. Latent Defects. In the event the Subcontractor becomes aware of any latent defect(s) in any item(s) furnished under this Subcontract, the Subcontractor shall promptly notify the BSRA Procurement Specialist. This notice shall provide at a minimum the following information:

(1.) full description of the item(s);

(2.) manufacturer, model and/or part number;

(3.) complete description of the latent defect

(4.) impact of the defect on the operation of the item(s);

(5.) action(s) to be taken by BSRA relative to return, re-fit, repair, etc.;

(6.) date of purchase by BSRA; and,

(7.) applicable BSRA subcontract number.

1.12. **DISPUTES**

A. Subcontractor shall not be entitled to and neither BSRA nor the Government shall be liable to the Subcontractor or its subtier subcontractors for damages in tort (including negligence), or contract, or otherwise, except as specifically provided in this Subcontract.

B. The Parties shall attempt to settle any claim or controversy arising from this Subcontract through consultation and negotiations in good faith and a spirit of mutual cooperation. If those attempts fail, then the dispute will be mediated by a mutually acceptable mediator chosen by the Parties within thirty (30) days after written notice by one party demanding mediation. Neither Party may unreasonably withhold consent to the selection of a mediator, and the Parties will share the costs of the mediation equally. Any dispute which cannot be resolved between the Parties through negotiation or mediation shall be resolved by litigation in a court of competent jurisdiction located in the State of South Carolina. Determination of any substantive issue of law shall be according to the Federal common law of Government contracts as enunciated and applied by Federal judicial bodies and boards of contract appeals of the Federal Government; if there is no applicable Federal Government contract law, the law of the State of South Carolina shall apply in the determination of such issues.

C. During the pendency of a dispute, the Subcontractor shall proceed diligently with performance of all terms of this Subcontract. The Subcontractor's consent to so proceed shall not restrict or otherwise affect the Subcontractor's right to contest any claim.

1.13. **CHANGES**

A. BSRA may unilaterally and at any time, by a written change order from the BSRA Procurement Department, and without notice to the sureties, if any, make changes, within the general scope of the Subcontract. If any such change causes an increase or decrease in the cost of, or the time required for, performance of any part of the work under the Subcontract, and Subcontractor timely requests an equable adjustment, BSRA shall make an equitable adjustment in the Subcontract price, (1) the time of performance or delivery schedule or both; and (2) other affected terms of the Subcontract, and shall modify the Subcontract accordingly. For any change, whether directed or constructive, Subcontractor must assert any request for equitable adjustment under this section in writing, together with such supporting information as BSRA may require, electronically and within thirty (30) days from the date of receipt by the Subcontractor first knowledge of the change, or

Subcontractor's right to assert such request for equitable adjustment shall be waived provided. Where the cost of property made obsolete or excess as a result of a change is included in Subcontractor's proposal for adjustment, BSRA shall have the right to prescribe the manner of disposition of such property. Failure to agree to any adjustment shall be a dispute within the meaning of the section entitled "Disputes". However, nothing herein shall excuse Subcontractor from proceeding with the Subcontract as changed.

B. Any changes, extras or additional work made or performed by Subcontractor without the prior written approval of the BSRA Procurement Department shall be at the sole risk and expense of the Subcontractor, there being no financial recourse against BSRA or the Government whatsoever.

C. Subcontractor shall not substitute other equipment or materials for those specified in the Subcontract, or vary the quantity of the work, or otherwise make any changes in the work, without prior written consent or BSRA.

D. If any change under this Section causes an increase or decrease in the Subcontractor's cost of, or the time required for, the performance of any part of the work under the Subcontract, whether or not changed by any such order, the Procurement Specialist shall make an equitable adjustment and modify the Subcontract in writing. However, except for an adjustment based on defective specifications, no adjustment for any change under paragraph B of this Section shall be made for any costs incurred more than twenty (20) days before the Subcontractor gives written notice as required. In the case of defective specifications for which the Government is responsible, the equitable adjustment shall include any increased cost reasonably incurred by the Subcontractor in attempting to comply with the defective specifications.

E. The Subcontractor must assert its right to an adjustment under this Section within thirty (30) days after:

(1.) Receipt of a written electronic change order under paragraph A of this Section or;

(2.) The furnishing of a written notice under paragraph B of this Section, by submitting to the BSRA Procurement Specialist a written statement describing the general nature and amount of the proposal, unless this period is extended by the Government. The statement of proposal for adjustment may be included in the notice under paragraph B above.

F. No proposal by the Subcontractor for an equitable adjustment shall be allowed if asserted after final payment under this Subcontract.

1.14. **COMPLIANCE**

A. Subcontractor shall comply with all applicable federal, state, and local laws and ordinances and all pertinent lawful orders, rules, and regulations, including new provisions of 10 CFR 851 relating to Health and Safety. Compliance shall be a material requirement of this Subcontract. Except as otherwise directed by BSRA, Subcontractor shall procure without additional expense to BSRA, all necessary permits or licenses.

B. This Subcontractor shall abide by the requirements of 41 CFR 60-741.5 (a). This regulation prohibits discrimination against qualified individuals on the basis of disability, and requires affirmative action by covered Subcontractor to employ and advance in employment qualified individuals with disabilities.

C. This Subcontractor shall abide by the requirements of 41 CFR 60-300.5 (a). This regulation prohibits discrimination against qualified protected veterans, and requires affirmative action by covered Subcontractor to employ and advance in employment qualified protected veterans.

(Paragraphs D – J applies to Staff Augmentation Services)

D. Subcontractor - Staff Augmentation Services

(1.) Subcontractor shall comply with all applicable federal, state, and local laws and ordinances and all pertinent lawful orders, rules, and regulations, including provisions of 10 CFR 851. Compliance shall be a material requirement of this Subcontract.

(2.) Except as otherwise directed by BSRA, Subcontractor shall procure without additional expense to BSRA, all necessary permits or licenses. DEAR Clause 970.5223-1 Integration of Environment, Safety, and Health into Work Planning and Execution (DEC 2000) is incorporated into the subcontract by reference.

(3.) Compliance by Subcontractor to BSRA's Worker Safety and Health Program (WSHP) [as implemented by Integrated Safety Management System (ISMS)] shall satisfy the requirements of this DEAR clause and 10 CFR 851.

E. The Subcontractor employees shall take all reasonable precautions in the performance of work under this subcontract to protect the environment, safety and health of themselves, site employees and members of the public. BSRA procedures provide authority to call a time-out/stop work when unsafe conditions are observed and/or employee actions are likely to cause injury to them, other personnel, or cause damage to SRS property or the environment. Subcontractor shall ensure that its employees are aware of this authority and understand they have the same authority as BSRA employees to call a timeout/

stop work while working at SRS. BSRA Procurement Specialist shall notify the Subcontractor in writing of any noncompliance with the provisions of this section and corrective action to be taken.

F. Upon assignment, BSRA will be responsible to provide Staff Augmentation employees with a medical evaluation. In addition, BSRA will be responsible for an exit medical evaluation, when required, on employees with known occupational illnesses, injuries and/or documented or presumed exposure, and, when required by OSHA regulations. All diagnostic /monitoring exams and return to work (after an absence of 24 work hours) exams are to be provided through the Subcontractor.

G. Medical results will be provided to the staff augmentation employees.

H. The on-site Medical Surveillance program will be provided by BSRA Medical, or third party designee, based on the work scope hazards. The Subcontractor's corporate occupational medicine program must be in compliance with all other 10 CFR 851 requirements.

I. Site Reporting Requirements

(1.) The Subcontractor (staff augmentation) personnel shall immediately notify the STR/End User or the BSRA Procurement Specialist of any event or condition that may require reporting to DOE. Further, the Subcontractor shall cooperate with any BSRA or DOE critique, analysis, or investigation and complete necessary reports for such events/conditions. Events/conditions that require reporting to DOE are defined in DOE Manual 231.1-2 and can include, but not limited to:

(a.) Operational emergencies,

(b.) Occupational injury or illness (including exposures to hazardous substances in excess of allowable limits) and near misses,

(c.) Any on–the-job injury where a Subcontractor employee is taken offsite f or something other than observation. The notification requirement applies to any person who goes offsite for prompt medical treatment of any type. The mode of transportation (ambulance, personal vehicle, etc.) is not pertinent – any offsite transfers must be reported immediately,

(d.) Any violation of Lockout/Tag out controls where there are no credible barriers left between the worker, and the energy source regardless of whether or not there was an injury,

(e.) Fires/explosions,

(f.) Hazardous energy control failures,

(g.) Operations shutdown directed by management for safety reasons,

(h.) Environmental release of radioactive materials, hazardous substances, regulated pollutants, oil spills, etc.

(i.) Violation of Federal Motor Carrier Safety Regulations or Hazardous Material Regulations,

(j.) Loss damage, theft, or destruction to government property (including damage to ecological resources like wetlands, critical habitats, historical/archeological sites, etc.),

(k.) Spread of radioactive contamination or loss of control of radioactive materials,

(l.) Personnel radioactive contaminations or exposures, and

(m.) Violations of procedures.

J. Immediate notification is required of such events to ensure BSRA meets its commitment for 30-minute notification to appropriate DOE authorities. The Subcontractor employee shall preserve conditions surrounding or associated with the event for continued investigation unless such actions interfere with establishing a safe condition. The Subcontractor's employees shall not conceal nor destroy any information concerning noncompliance or potential noncompliance with the environment, safety and health requirements of this subcontract.

K. When Subcontractor shall perform any part of the work on the premises of SRS or other premises owned and/or operated by the Government during the performance of this Subcontract, the Subcontractor shall demonstrate a culture of respect, including having a written policy on respect in the workplace; and shall be made available upon request.

1.15. **RIGHTS TO PROPOSAL DATA**

A. Except for the technical data contained on those pages of Subcontractor's proposal which are specifically identified in the Subcontract with specific reference to this Section and asserted by Subcontractor as being proprietary data, it is agreed that, as a condition of the award of this Subcontract and notwithstanding the provisions of any notice appearing on the proposal or

elsewhere, BSRA and the Government shall have the right to use, duplicate, disclose and have others do so for any purpose whatsoever, the technical data contained in the proposal upon which this Subcontract is based.

1.16. **TERMINATION FOR CONVENIENCE**

A. BSRA may, in its sole discretion, and by written notice, terminate the Subcontract in whole or in part, when it is in BSRA's interest to do so. If the Subcontract is so terminated, BSRA shall be liable for payments only as specified in the Article entitled "Schedule of Rates" for services performed before the effective date of termination. After termination, the Subcontractor shall submit a final termination settlement proposal to BSRA in the form and with the certification prescribed by BSRA. The Subcontractor shall submit the proposal promptly, but no later than forty-five (45) days from the effective date of termination, unless extended in writing by BSRA upon written electronic request of the Subcontractor within this forty-five (45) day period.

B. If the termination is partial, the Subcontractor may file with BSRA a proposal for an equitable adjustment of the price(s) for the continued portion of the Subcontract. BSRA shall make any equitable adjustment agreed upon. Any proposal by the Subcontractor for an equitable adjustment under this clause shall be requested within 90 days from the effective date of termination, unless extended in writing by BSRA.

C. Unless otherwise provided in this Subcontract or by statute, Subcontractor shall maintain all records and documents relating to the terminated portion of this Subcontract for three (3) years after final settlement. This includes all books and other evidence bearing on Subcontractor's/Supplier's costs and expenses under this Subcontract. Subcontractor/Supplier shall make these records and documents available to the Government, at Subcontractor's/Supplier's office, at all reasonable times, without any direct charge. If approved by BSRA, photographs, microphotographs, or other authentic reproductions may be maintained instead of original records and documents.

1.17. **PERSONAL SERVICES**

A. It is the intent of the parties of the Subcontract that the work and services provided for herein shall be performed personally by the Subcontractor personnel who are assigned by the Subcontractor except that incidental services such as secretarial and clerical assistance may be performed by others when requested by the Subcontractor. Except for such incidental assistance, any services provided for herein may not be performed by persons other than a Subcontractor unless written approval for such performance is given by BSRA.

B. It is understood that BSRA shall not be liable for any claims for work performed under or in connection with the Subcontract by persons other than the Subcontractor.

1.18. **ASSIGNMENT**

A. BSRA may assign the Subcontract, in whole or in part, to the Department, or to such subcontractor as the Department may designate to perform BSRA's obligations hereunder. Upon receipt by the Subcontractor of written electronic notice that the Department or a subcontractor so designated by the Department has accepted an assignment of the Subcontract and assumed such obligations, BSRA shall be relieved of all responsibility hereunder and the Subcontractor shall there after look solely to such assignee for performance of BSRA's obligations. BSRA may also assign any claims hereunder to the Department. The Subcontractor shall not assign the Subcontract or any interest therein, nor claims thereunder, nor subcontract any portion of the work to be performed without the prior written electronic consent of BSRA or BSRA's assignee.

1.19. **THIRD PARTIES**

A. Nothing in the Subcontract, or its amendments, shall be construed to grant, vest or allow any right to be given to any employee or other third party, excluding the Department, or to the legal representative, heirs, assigns, or successors of any of them, as a third-party beneficiary. This provision is not intended to limit or impair the rights which any person may otherwise have under applicable Federal statutes or which are granted or reserved to the Government in the Subcontract.

1.20. **CONFIDENTIALITY OF INFORMATION**

A. To the extent that work under this Subcontract requires that the Subcontractor and subtier subcontractors be granted access to confidential or proprietary business, technical or financial information belonging to the Government, BSRA or other companies, the Subcontractor shall, maintain such information in confidence and agrees not to further disseminate such information to any third parties unless specifically authorized by BSRA or the BSRA Purchasing Specialist in writing. The foregoing obligations, however, shall not apply to:

(1.) Information which is or becomes available to the public through no fault of the Subcontractor;

(2.) Information which the Subcontractor can demonstrate by written record was previously known to them and was not acquired directly or indirectly from the government or other companies subject to any obligations of confidentiality;

(3.) Information which the Subcontractor can demonstrate by written record was independently developed by the Subcontractor independent of any disclosure under this Subcontract.

B. The Subcontractor shall obtain the written agreement, in a form satisfactory to BSRA, of each Subcontractor employee or subtier subcontractor permitted access to such confidential information, whereby the Subcontractor employee or subtier subcontractor agrees they will not discuss, or disclose any such information or data to any person or entity except those within their organization having a need to know to accomplish the purpose of this Subcontract.

C. Upon request of BSRA or the Government, the Subcontractor agrees to sign an agreement identical, in all material respects and in a form satisfactory to BSRA and/or the Government, with each company supplying information and/or access to particular facilities to the Subcontractor or subtier subcontractor under this Subcontract, and to supply a copy of such agreement to BSRA. Upon request of BSRA, the Subcontractor shall supply BSRA with reports itemizing information received as confidential or proprietary and setting forth the company or companies from which the Subcontractor received such information.

D. Subcontractor will indemnify and hold BSRA harmless from any and all liabilities, claims, demands, actions, costs, damages and any expenses relating thereto (including but not limited to reasonable attorney's fees) arising from any unauthorized disclosure of information, by any of its directors, officers, employees, agents, subtier subcontractors or permitted assigns.

E. The obligations of this Section shall survive completion of the Subcontract.

1.21. **TERMINATION FOR CAUSE AND DEFAULT**

A. BSRA may, subject to paragraphs C and D below, by written notice of default to Subcontractor, terminate the Subcontract in whole or in part if Subcontractor fails to:

(1.) To perform the services within the time specified in the Subcontract or any extension;

(2.) Make progress, so as to endanger performance of the Subcontract (but see subparagraph A (2) below);

(3.) Perform any of the other provisions of the Subcontract (but see subparagraph A (2) below); or

(4.) Fails to provide adequate assurance of future performance.

B. BSRA's right to terminate the Subcontract under Paragraph A above, may be exercised if Subcontractor does not cure such failure within ten (10) days (or more if authorized in writing by BSRA) after receipt of the notice from BSRA specifying the failure.

C. If BSRA terminates the Subcontract in whole or in part, it may acquire, under the terms and in the manner BSRA considers appropriate, services similar to those terminated, and Subcontractor will be liable to BSRA for any excess costs for those services. However, Subcontractor shall continue the work not terminated.

D. Except for defaults of subcontractors at any tier, the Subcontractor shall not be liable for any excess costs if the failure to perform the Subcontract arises from unforeseeable events or causes beyond the control and without the fault or negligence of Subcontractor. In each instance the failure to perform must be beyond the control and without the fault or negligence of Subcontractor. Examples of such causes include

(1.) Acts of God or of the public enemy,

(2.) Acts of the Government in either its sovereign or contractual capacity,

(3.) Fires,

(4.) Floods,

(5.) Epidemics,

(6.) Quarantine restrictions,

(7.) Strikes,

(8.) Freight Embargos, and

(9.) Unusually severe weather

E. If the failure to perform is caused by the default of a Subcontractor at any tier, and if the default of Subcontractor's subtier subcontractors at any tier are proved to be unforeseeable, is beyond the control of both Subcontractor and the Subcontractor's lower- tier subcontractors and without the fault or negligence of either, Subcontractor shall not be liable for any excess costs for failure to perform, unless the subcontracted services were obtainable from other sources insufficient time for Subcontractor to meet the required delivery schedule.

F. BSRA shall pay the Subcontract price for completed and accepted work prior to a termination under this Section. BSRA shall not be liable for any work not accepted prior to termination. Subcontractor and BSRA shall agree on the amount of payment for work performed and accepted. Failure to agree will be a dispute under the Disputes article.

G. If , after termination, it is determined that Subcontractor was not in default, or that the default was excusable, the rights and obligations of the parties shall be the same as if the termination had been issued for the convenience of BSRA.

H. The rights and remedies of BSRA in this article are in addition to any other rights and remedies provided by law or under the Subcontract.

1.22. **FOREIGN TRAVEL**

A. Foreign travel, when charged directly, shall be subject to the prior approval of BSRA for each separate trip regardless of whether funds for such travel are contained in an approved budget. Foreign travel is defined as any travel outside of the United States and its territories and possessions.

B. Request for approval shall be submitted at least sixty (60) days prior to the planned departure date, on a Request for Approval of Foreign Travel form, and, when applicable, include a notification of proposed foreign nation travel.

C. Subcontractor foreign travel shall be conducted pursuant to the requirements contained in DOE Order 551.1, Official Foreign Travel, or any official version of the order in effect at the time of award.

1.23. **WORK AND SERVICES TO BE PROVIDED**

A. While the Subcontract is in effect, the Subcontractor will perform certain work and services at the Savannah River Site ("SRS"), at the Subcontractor's home office, or elsewhere as required from time to time upon the direction of BSRA, on either a continuous or an intermittent basis, under the terms and conditions here in after set forth. The work and services to be performed by the Subcontractor is found in the "Statement of Work and Services," which is attached to the Subcontract.

B. As a part of the work and services to be performed, the Subcontractor may be required to furnish intermediate reports to BSRA from time to time, when requested, and in such form and number as may be required by BSRA, and will make such final reports as may be required by BSRA concerning the work and services performed under the Subcontract. Further, the Subcontractor shall furnish BSRA, as requested, copies of research and engineering data drawings, notebooks, photographs, and computer software including source codes generated by Subcontractor under the Subcontract.

C. Subcontractor is required to register and maintain an active DUNS number and a current registration in the System for Award Management (SAM), formerly known as Central Contractor Registration (CCR), in compliance with FAR 52.204-7 and Subpart 42.12 of the FAR. In addition, a Subcontractor Information Form (SIF) must be completed and submitted with the Subcontractor's solicitation response.

1.24. **LIMITATION OF FUNDS**

NOTE: This Section is applicable only if this Subcontract or Subcontract is partially funded

A. Of the total price of the Subcontract, the sum of $_____ is presently available for payment and allotted to the Subcontract. It is anticipated that additional funds will be allocated to the Subcontract in accordance with the following schedule until the total price of the Subcontract is funded: [insert schedule or Attach]

B. The Subcontractor agrees to perform or have performed work on the Subcontract up to the point at which, if the Subcontract is terminated pursuant to the Termination For Convenience of BSRA section of the Subcontract, the total amount payable by BSRA (including amounts payable for subcontracts and settlement costs) pursuant to the Termination For Convenience of BSRA section would, in the exercise of reasonable judgment by the Subcontractor, approximate the total amount at the time allotted to the Subcontract. The Contractor is not obligated to continue performance of the work beyond that point. BSRA is not obligated in any event to pay or reimburse the Contractor more than the amount from time to time allotted to the Subcontract, anything to the contrary in the Termination for Convenience of BSRA section notwithstanding.

C. It is contemplated that funds presently allotted to the Subcontract will cover the work to be performed until [_____].

(1.) If funds allotted are considered by the Subcontractor to be inadequate to cover the work to be performed until that date, or an agreed date substituted for it, the Subcontractor shall notify BSRA in writing when within the next sixty days the work will reach a point at which, if the Subcontract is terminated pursuant to the Termination For Convenience of BSRA section of the Subcontract, the total amount payable by BSRA (including amounts payable for subcontracts and settlement costs) pursuant to the Termination For Convenience of BSRA section will approximate 75 percent of the total amount then allotted to the Subcontract.

(a.) The notice shall state the estimated date when the point ref erred to in subparagraph C(1) of this section will be reached and the estimated amount of additional funds required to continue performance to the date specified in paragraph C of this clause, or an agreed date substituted for it.

(b.) The Subcontractor shall, sixty (60) days in advance of the date specified in paragraph C of this section, or an agreed date substituted for it, advise BSRA in writing as to the estimated amount of additional funds required for the timely performance of the Subcontract for a further period as may be specified in the Subcontract or otherwise agreed to by the parties.

(2.) If , after the notification ref erred to in paragraph C of this clause, additional funds are not allotted by the date specified in paragraph C of this clause, or an agreed date substituted for it, BSRA shall, upon the Subcontractor's written electronic request, terminate the Subcontract on that date or on the date set forth in the request, whichever is later, pursuant to the Termination For Convenience of BSRA article.

D. When additional funds are allotted from time to time for continued performance of the work under the Subcontract, the parties shall agree on the applicable period of Subcontract performance to be covered by these funds. The provisions of paragraphs B and C of this section shall apply to these additional allotted funds and the substituted date pertaining to them, and the Subcontract shall be modified accordingly.

E. If , solely by reason of BSRA's failure to allot additional funds in amounts sufficient f or the timely performance of the Subcontract, the Subcontractor incurs additional costs or is delayed in the performance of the work under the Subcontract, and if additional funds are allotted, an equitable adjustment shall be made in the price or prices (including appropriate target, billing, and ceiling prices where applicable) of the work to be performed.

F. BSRA may at any time before termination, and, with the consent of the Subcontractor, after notice of termination, allot additional funds for the Subcontract.

G. The provisions of this clause with respect to termination shall in no way be determined to limit the rights of BSRA under the default section of the Subcontract. This clause shall become inoperative upon the allotment of funds for the total price of the work under the Subcontract except for rights and obligations then existing under this clause.

H. Nothing in this clause shall affect the right of BSRA to terminate the Subcontract pursuant to the Termination for Convenience of BSRA section of this Subcontract.

1.25. **SOUTH CAROLINA TAX**

A. Requirements for Nonresidents

(1.) Non-resident subcontractors conducting a business or performing personal services of a temporary nature within South Carolina are required to register with the South Carolina Department of Revenue in accordance with Title 12 of the Code of Laws of South Carolina, sections 12-8-540 & 12-8-550. Proof of registration must be submitted to **bsrasuppliermgt@srnl.gov** and the BSRA Procurement Specialist prior to award.

1.26. **COUNTERINTELLIGENCE**

Note: This section applies if the Subcontract involves access to classified matter.

A. The Subcontractor shall take all reasonable precautions in the work under this subcontract to protect BSRA/DOE programs, facilities, technology, personnel, unclassified sensitive information and classified matter from foreign intelligence threats and activities conducted for governmental or industrial purposes, in accordance with DOE Order 5670.3, Counterintelligence Program; Executive Order 12333, U.S. Intelligence Activities; and other pertinent national and Departmental Counterintelligence requirements.

B. The Subcontractor shall comply with requirements established by the DOE-SR Counterintelligence Officer. The DOE-SR Counterintelligence Officer will be responsible for conducting defensive Counterintelligence briefings and debriefings of Subcontractor employees traveling to foreign countries or interacting with foreign nationals. The Subcontractor shall be responsible for requesting defensive Counterintelligence briefings request, whichever is later, pursuant to the Termination For Convenience of BSRA section. interacted with foreign nationals. The Subcontractor shall coordinate Counterintelligence Awareness training activities with the BSRA Procurement Specialist. The Subcontractor shall immediately report targeting, suspicious activity and other Counterintelligence concerns to the BSRA Procurement Specialist; and provides assistance to other elements of the U.S. Intelligence Community as stated in the aforementioned Executive Order, the DOE Counterintelligence Order, and other pertinent national and Departmental Counterintelligence requirements.

1.27. **UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION (UCNI)**

In the performance of this order, the Subcontractor is responsible for complying with the following requirements and for flowing down all requirements to subtier subcontractors.

A. The Subcontractor ensures that access to UCNI is provided to only those individuals authorized for routing or special access (see DOE O 471. 1B.) Subcontractor may provide access to material or data containing Unclassified Controlled Nuclear Information (UCNI) utilized in the performance of this Subcontract only to employees who are citizens of the United States.

B. The Subcontractor ensures that matter identified as UCNI is protected in accordance with the instructions contained in DOE O 471. 1B. Any material or data containing UCNI which is stored on computer systems must be protected, and the protective measures and/or policies must be specified in a Computer Protection Plan approved by the BSRA Computer Security organization. Adherence to the Plan is required during the performance of this Subcontract.

C. Material or data containing UCNI shall be disposed of in a manner as described in DOE O 471. 1B. At a minimum, UCNI matter must be destroyed by using strip cut shredders that result in particles of no more than 1/4-inch wide strips. Documents containing UCNI may also be disposed of in the same manner that is authorized for Subcontractor disposition of other classified material or data. If the above disposal methods are not available to the Suppler, the Subcontractor may return the UCNI matter to the STR/End User for disposition, with the prior approval of the STR/End User.

D. The Subcontractor shall report to the BSRA Security Office or the BSRA Procurement Specialist any incidents involving the unauthorized disclosure of UCNI.

E. If performance of work under this order results in the generation of unclassified documents that contain UCNI, the Subcontractor shall have a sufficient number of trained UCNI review personnel to ensure the prompt and proper review of generated material or data to provide for the identification, marking, and proper handling of material or data determined to contain UCNI. The Subcontractor's Reviewing Officials shall apply or authorize the application of UCNI markings to any unclassified matter that contains UCNI in accordance with the instructions contained in DOE M 471.1-1, Chapter I, Part C.

F. If the Subcontractor has a formally designated Classification Officer, the Classification Officer

(1.) Serves as a Reviewing Official for information under his/her cognizance;

(2.) Trains and designates other Reviewing Officials in his/her organization, subordinate organizations, and subtier subcontractors and maintains a current list of all Reviewing Officials; and

(3.) May overrule UCNI determinations made by Reviewing Officials under his/her cognizance.

G. If the Subcontractor has no formally designated Classification Officer, the Subcontractor submits a request for the designation of Reviewing Officials to the local Federal Classification Officer in accordance with the instructions contained in DOE M 471.1-1, Chapter I Part B.

1.28. **WAIVER OF BENEFITS**

(STAFF AUGMENTATION SUBCONTRACTS ONLY)

A. Prior to performance, the Subcontractor shall obtain from each Subcontractor employee and submit to BSRA a signed acknowledgement and waiver of any BSRA salary and benefits programs in a form satisfactory to BSRA, whereby the Subcontractor employee agrees and understands that (s)he is an employee of the Subcontractor, and not of Battelle Savannah River Alliance, LLC (BSRA) or the United States Department of Energy, that the employee will receive all compensation (salary and benefits) from Subcontractor and will not be eligible for any salary or benefits programs provided by BSRA , including but not limited to base salary, health and welfare plans, pension plans, and 401(k) investment savings programs.

1.29. **WORKPLACE SUBSTANCE ABUSE PROGRAMS**

A. Fitness For Duty

(1.) Subcontractor and its subtier subcontractors are required to comply with this Workplace Substance Abuse Program section, which addresses the Subcontractor portion of BSRA "Workplace Substance Abuse Program Plan." The Subcontractor shall advise employees and subtier subcontractors that it is the policy of BSRA to prohibit the use, possession, sale and distribution of alcohol, drugs or other controlled substance within the limits of the Savannah River Site (SRS), and/or any SRS off-site facilities, and to prohibit the presence of individuals who have such substances in the body for non-medical reasons. In order to ensure that BSRA work sites are free of illegal drugs and alcohol, all personnel and Subcontractor employees shall be tested in accordance with the requirements of DEAR 970.5223-4 and 10 CFR 707, "Workplace Substance Abuse Program at DOE Sites". Testing includes initial "Pre-Access" testing and "Random" testing for the presence of illegal drugs and alcohol. Any Subcontractor employee who is found in violation of the policy may be removed or barred from the site.

(2.) The Subcontractor agrees to advise its employees and subtier subcontractors of the above policy prior to assignment to the Site and to maintain documentation that such advice has been given.

B. Substance Testing

(1.) BSRA will collect oral swab specimens or urine specimens when Subcontractor employees are processed for badging. The specimen collection will be performed at SRS or one of the third-party collection facilities contracted by BSRA to perform collections. BSRA will send these specimens to a certified laboratory for testing and verification. The testing process may take up to five (5) days to obtain results. In the event of "positive" findings, the

Subcontractor will be notified and shall arrange for an "Exit Conference". The Subcontractor then agrees to promptly remove such individual from the Savannah River Site (SRS) and return the badge to the BSRA Badge Office.

(2.) A Breath Alcohol Test will be given during the initial badging process and the results will be available immediately. The Breath Alcohol Test will be performed at SRS or one of the third-party testing facilities contracted by BSRA to perform Breath Alcohol Tests. In the event of "positive" findings, the Subcontractor will be notified and shall arrange for an "Exit Conference". The Subcontractor then agrees to promptly remove such individual from the Savannah River Site (SRS) and return the badge to the BSRA Badge Office.

(3.) The Subcontractor agrees to advise its employees and subtier subcontractors that it is the policy of BSRA that: (1)

(a.) the manufacture, dispensation or sale, off er f or sale, purchase, use, transfer, or possession of alcohol and illegal drugs on SRS or US Department of Energy (Owner) premises is prohibited; (2)

(b.) employees, while on the SRS premises, are prohibited from being under the influence of alcohol ("Under the Influence" means the employee is affected by alcohol in any detectable manner) or impaired by drugs; (3)

(c.) entry onto the SRS premises constitutes consent to an inspection of the employee and his or her vehicle as well as their personal effects while entering, on, or leaving premises; (4)

(d.) any employee who is found in violation of this policy or who ref uses to permit an inspection may be removed or barred from the SRS premises at the discretion of BSRA. As used herein, "SRS premises" means the property, leased or otherwise, including owned project site locations in which BSRA business is being conducted, and owned or rented vehicles and/or equipment is being operated.

The Subcontractor agrees to secure the written consent of employees to release results of substance abuse tests (breath alcohol and urine) to the designated BSRA representative.

(4.) The Subcontractor agrees to comply with and secure the compliance of its employees and subtier subcontractors of random, occurrence and/or for cause substance abuse testing. In the event of "positive" findings, the Subcontractor will be notified and shall arrange for an "Exit Conference". The Subcontractor then agrees to promptly remove such individual from the Savannah River Site (SRS) and return the badge to the BSRA Badge Office. Any positive finding will result in denial of site access for 12 months for the Subcontract/Supplier employee. In the event of a refusal, the Subcontractor's employee may be terminated for cause and the individual will be ref used access to the site.

(5.) Occurrence testing additionally requires the following:

(a.) If an injury /illness is the result of an occupational incident that requires recordable medical treatment, as defined by OSHA, then drug and alcohol testing is required. In addition, if an occupational incident involves damage to government vehicle or property or Subcontractor equipment then drug and alcohol testing is required. BSRA will require the Subcontractor to have their employees drug and alcohol tested on the day of the injury, illness or incident.

(6.) Subcontractor's employees who are required to obtain a security clearance may be required to successfully pass an additional alcohol and drug screening as required in the Security Requirements article of this order.

C. Suitability for Employment

(1.) Subcontractor employees, including Subtier subcontractor employees, who are to be badged to permit SRS access, must successfully complete the Suitability f or Employment process. As part of this process, the Subcontractor/Suppler agrees to advise its employees and Subtier Subcontractors/Suppler that they will be required to complete certain forms, which authorize background investigations. These forms shall be submitted during the badging process.

(2.) Subcontractor employees will be issued a photo badge and allowed site access on the first reporting day. In the event a Subcontractor/Suppler employee subsequently fails to successfully complete the background investigation, the Subcontractor agrees to remove promptly such individual from the site and to return the badge to the BSRA Badging Office.

(3.) Subcontractor agrees to advise its employees of the above requirement prior to assignment to the SRS and to maintain documentation that such advice has been given.

1.30. **BADGING REQUIREMENTS**

A. Photo Badge

(1.) Subcontractor employees may be issued a site access photo badge for a period not to exceed one year. To obtain a Photo Badge, Subcontractor employees and any subtier subcontractor employees must be processed through BSRA's Subcontract Badging Procedure and are subject to investigation by Governmental authorities. All badges must be returned or accounted for prior to final payment. All Subcontractor/ Supplier employees must be at least 18 years old. The Subcontractor shall ensure that any/all SRS-issued site security badges are returned to the Badge Office (703-46A) within ten (10) calendar days after badge expiration date (or subcontract/subcontractor employee termination date, whichever occurs first). Failure to do so may result in withholding of invoice payments until such time that the badge(s) is returned.

(2.) Subcontractor employees and any subtier subcontractor employees shall complete Subcontractor Employee Data Sheet and Fingerprint Cards. If a long- term badge is required (period greater than six (6) months) the employee will also be required to complete Standard Form (SF) 85, "Questionnaire for Non-Sensitive Positions", and form Optional Form 306, "Declaration for Federal Employment". These forms are required for the Governments use in conducting background investigations per Homeland Security Presidential Directive HSPD-12. Copies of these forms are available on the SRNL Internet Home Page or from the Procurement Specialist.

(3.) Subcontractor will observe the following badging procedure for processing their employees through security orientation:

(a.) A minimum of two (2) working days prior to the start of the badging and orientation process, Subcontractor shall transmit the following information to the Subcontract Technical Representative (STR) (or the End User if an STR is not appointed for this order):

(i.) Subcontract Number;

(ii.) Subcontractor Employee Name;

(iii.) Subcontractor Employee Address;

(iv.) Subcontractor Employee Social Security Number;

(v.) Subcontractor Employee Date of Birth;

(vi.) Subcontractor Employee's Phone Number

(b.) Subcontractor employees shall report to SRS Building 703-46A at SRS Road 1, approximately two miles east of SC Highway 125 in Jackson, SC.

(c.) Each Subcontractor employee must successfully pass General Employee Training (GET) prior to undergoing the Photo Badging procedure. See Section titled "General Employee Training and Annual Refresher Training for Subcontract Employees". GET is available online and should be scheduled through the STR or End-User well in advance of the desired date in order to assure placement. GET and the exam are to be completed by the employee who is being badged and without the use or help from others, study materials, or notes.

(d.) The orientation and badging process will take approximately four (4) hours.

(4.) The maximum duration that Supplier/Subcontractor employees will be issued a site access badge is one (1) year. Supplier/Subcontractor employees requiring a new badge will report to the Badge Office and repeat the badging process.

(5.) If work under this Subcontract is to be performed in security areas, all personnel will be required to sign in and out at security gates and are subject to a search of their person and belongings at entrances to or exit from the area.

B. Temporary Badge (typically for visitors and short-term personnel).

(1.) Temporary badges are valid for a maximum of ten (10) calendar days per person in a calendar year. To avoid unnecessary expiration, these badges should be returned to the badge office immediately upon completion of need.

(2.) Two working days prior to the need date, Subcontractor shall transmit the following information to the STR/End User:

(3.) The Assigned Competent Person ("ACP") (Subcontractor or BSRA employee) shall perform Task Analysis of scope to be performed and identify any applicable contractual task specific checklist(s) from the Subcontractor's accepted Worker Protection Plan or BSRA's Focused Observation Database if a WPP is not required by the terms of this order.

(4.) ACP shall provide advance copy of any task specific safety checklist(s) to personnel seeking temporary badges.

(5.) Badge Office provides initial security briefing, issues registration card and obtains acknowledgement signature, issues "maroon" Visitors Badge for duration requested by STR/End User.

(6.) ACP reviews any applicable checklist(s) and performs focused observations as directed by the STR/End User.

(7.) Upon completion of scope, return badge to Badge Office upon exiting SRS.

C. Identity Verification

(1.) In order to receive a photo or temporary badge for entry to SRS, Subcontractor employees, except delivery personnel (see subparagraph (2) below), will be required to present two specific forms of identification f rom the "List of Acceptable Documents" (Department of Homeland Security Form I- 9, copy available on the BSRA Internet Home Page. At least one of the documents selected from the list must be a valid State or Federal government-issued picture ID.

(2.) Vendor Delivery Personnel. Unbadged personnel seeking a temporary badge for material/equipment deliveries will be required to present one form of picture identification that will verify their identity, such as a valid state driver's license that includes a photograph. Delivery personnel shall enter the site at the Aiken Barricade located approximately one (1) mile south of SC Highway 278, and will be escorted at all times to the delivery location and back to the entrance barricade by Centerra Group, LLC assigned escorts, or by Assigned Competent Persons (BSRA or Subcontractor).

D. If the Subcontractor or any subtier subcontractor should independently suspend or remove an employee from work at the SRS for unsafe acts or behavior, the Subcontractor shall immediately notify the STR/End User, return the employee's badge to the STR/End User, and provide the STR/End User with written electronic notification of the employee's name and reason(s) for such suspension or removal.

1.31. **GENERAL EMPLOYEE TRAINING AND ANNUAL REFRESHER TRAINING FOR SUBCONTRACT EMPLOYEES**

The following terms are applicable if performance of this Subcontract or Order will require the Subcontractor's employee(s) to perform work on SRS premises for more than ten (10) working days.

A. General Employee Training (GET)

(1.) The Subcontractor shall inform his employees and the employees of his subtier subcontractors and agents that it is the policy of Battelle Savannah River Alliance, LLC (BSRA) to adhere to the requirements contained in the DOE Order entitled "Personnel Selection, Qualification and Training Requirements," which requires any individual, employed either full or part-time at any DOE reactor or non-reactor facility to receive selected general training.

(2.) Successful Completion Required

(a.) Said employees, ref erred to in the remainder of this document as "individual", must successfully complete the training known as "General Employee Training" (GET) as offered by the SRS. GET is required for individuals who require badged access to the general site. GET is Web Based (on-line).

(3.) Successful Completion Defined: Successful completion occurs when the individual

(a.) Is given access to the on-line GET,

(b.) Completes the GET,

(c.) Obtains a test score of 80% or greater on the examination (100% is the highest obtainable score),

(4.) Unsuccessful Completion Defined: If the individual f ails to complete successfully GET, the individual is given a failure notice and is to notify the Subcontract Technical Representative (STR)End/User. The individual will be allowed several chances to successfully complete the GET. Multiple attempts are not allowed on the same day. Continued failure to successfully complete GET will result in resolution by the STR/End User.

(5.) Access to GET: The STR/End User shall direct the individual when to complete GET.

B. Consolidated Annual Training (CAT)

(1.) CAT is required after an individual's initial successful completion of GET, regardless of the individual's present employer. CAT is required to be completed in January each year the individual has a SRS security badge. The STR/End User may be contacted for assistance.

C. Annual Safeguards and Security Refresher Training (S&S)

(1.) S&S training is required to be completed in November-December each year and is required for each individual regardless of the month GET is completed.

D. GET, CAT and the S&S Training can be completed offsite on a computer, cell phone or tablet at www.srs.gov. The link to the training is available in the lower left corner of the home page. The training can also be completed on SRS network computers. The S&S Training is a prerequisite and must be completed before completing CAT. Individuals are encouraged to use Internet Explorer to complete the training.

1.32. **SECURITY EDUCATION REQUIREMENTS FOR CONTRACTORS**

The following items are applicable if performance of this Subcontract will require the Subcontractor or the Subcontractor's employee(s) to receive a security badge.

A. Subcontractor Security Education Coordinator

(1.) If the Subcontract will require a force of more than thirty (30) subcontract employees to receive a badge, then the Subcontractor shall provide to the BSRA Security Education Office, the name of its representative appointed to administer its Security Education Program. This representative shall be referred to as the Subcontractor Security Education Coordinator (SSEC).

(2.) If the Subcontract will require that less than thirty (30) subcontract employees to receive a badge, then the BSRA Subcontract Technical Representative (STR)/End User will perform the activities discussed herein.

B. Company Roster

(1.) The SSEC will be responsible for providing the STR/End User with a roster of all subcontract personnel receiving a badge. At a minimum the data shall include name, social security number, work telephone number, clearance level and place where work is generally performed. This list shall be kept current and updated every sixty- (60) days.

C. Initial Briefing

(1.) The SSEC will ensure that all subcontract personnel, regardless of clearance level, receive an Initial Security Briefing. This briefing is shown during General Employee Training. This briefing consists of a videotape shown during GET, or at the time of badging for those individuals not required to attend GET.

D. Comprehensive Briefing

(1.) If Subcontractor personnel have a clearance at the inception of the Subcontract, or receive a clearance at any time during the course of the Subcontract, the SSEC/STR/End User will ensure that those Subcontractor employees receive a Comprehensive Briefing from BSRA.

E. Annual Refresher Briefing

(1.) The SSEC/STR/End User shall ensure that all Subcontractor employees receive, at least once in a twelve (12) month period, an Annual Security Refresher briefing from BSRA. This brief ing is provided during GET Refresher Training.

F. Foreign Travel Briefing

(1.) If a Subcontractor employee plans a trip to a sensitive country, whether on official business or for pleasure, the SSEC/STR/End User is responsible for ensuring that the individual receives a Foreign Travel Briefing from BSRA before departing and a debriefing upon return. The OPSEC Officer is responsible for these Briefings.

G. Badge Retrieval at Termination

(1.) The Subcontractor is responsible for ensuring that badges are returned or accounted for when a Subcontractor employee terminates employment or when the Subcontract is completed. The employee must report to Employment Processing Center, for proper completion of out-processing and badge return. This effort should be coordinated with the BSRA STR/End User. The Subcontractor shall ensure that any/all SRS-issued site security badges are returned to the Badge Office (70346A) within ten (10) calendar days after badge expiration date (or subcontract/subcontractor employee termination date, whichever occurs first). Failure to do so may result in withholding of invoice payments until such time that the badge(s) is returned.

H. Termination Briefing

(1.) When a Subcontractor employee terminates employment or is reassigned, the SSEC/STR/End User will ensure that a Termination Briefing by BSRA is given and the appropriate forms are executed. Briefing materials and appropriate forms are provided by BSRA.

1.33. **SUBCONTRACTOR'S LIABILITY FOR FINES AND PENALTIES**

A. Subcontractor is liable to BSRA for fines and penalties assessed by any governmental entity against BSRA or DOE as a result of Subcontractor's failure to perform its work under the Subcontract in compliance with the requirements of the Subcontract.

B. Subcontractor shall indemnify, defend and hold harmless BSRA and DOE from and against any and all claims, demands, actions, causes of action, suits, damages, expenses, including attorney's fees, and liabilities whatsoever resulting from or arising in any manner on account of the assessment of said fines and penalties against BSRA or DOE.

C. Liability for Increased Cost or Interest - The Subcontractor is liable to the Government for any increased cost or interest resulting from the Subcontractor's failure to comply with FAR 52.230-2, FAR 52.230-5, or FAR 52.230-6. The Contract price is subject to adjustment by the Contractor to cover any increased cost or interest resulting from such failure.

D. If the Subcontractor submits an unallowable cost as defined under DEAR 970.5242-1 "Penalties for Unallowable Costs," subcontractor is liable for all fines, penalties, and costs assessed by the government contracting officer under this clause for subcontractor's submission of an unallowable cost.

1.34. **FOREIGN NATIONALS**

As used in this Section, the term "Foreign National" is defined to be a person who was born outside the jurisdiction of the United States; is a citizen of a foreign government and has not been naturalized under U.S. law.

As used in this Section, the term "Dual Citizen" is defined as an individual who is a citizen of more than one country.

A. The Subcontractor shall obtain the approval of BSRA, in writing, electronically, prior to any visit to a DOE or BSRA facility by any Foreign National or Dual Citizen in connection with work being performed under this Subcontract, in accordance with the requirements of DOE Order 142.3, Unclassified Foreign Visits and Assignments Program. Visits are normally for the purpose of technical discussions, orientation, observation of projects or equipment, training, subcontract service work, including delivery of materials, or for courtesy purposes. The term "visit" also includes officially sponsored attendance at a DOE or BSRA event off- site from the DOE/BSRA facility, but does not include off-site events and activities open to the general public. Subcontractor should be aware that required forms and documents necessary for approval of visits by Foreign Nationals should be submitted to the BSRA Procurement Specialist at least four (4) to six (6) weeks prior to the visit, depending on the nationality of the individual and the areas to be visited. Forms can be obtained from the BSRA Procurement Specialist.

B. In addition, the Subcontractor shall obtain the approval of the BSRA Procurement Representative, in writing, electronically, prior to the employment of, or participation by, any Foreign National or Dual Citizen in the performance of work under this Subcontract or any Lower-tier Subcontract at off-site locations. Such approvals will be processed in accordance with the requirements of DOE Order 142.3

C. In the performance of off-site work, Foreign Nationals only incidentally involved with a BSRA Subcontract, and who have no knowledge that their activities are associated with BSRA Subcontract work, are exempt from the above.

D. If the statement of work is accompanied by an approved Exception from Foreign National Information Requirements form, this Subcontract does not require the Subcontractor to provide foreign national information that would otherwise be required by DOE Order 142.3a.

E. In the performance of work, Country of Risk Foreign Nationals/Dual Citizen may be restricted from accessing technology, information, or certain areas.

1.35. **JOINT INTELLECTUAL PROPERTY RIGHTS**

A. "Joint Intellectual Property Rights" shall mean any work under the Subcontract, which:

(1.) Results from the involvement of at least one employee/participant from each of BSRA and the Subcontractor; and

(2.) The subject matter of which is capable of protection under domestic or foreign law, including but not limited to, patents, copyrights, trademarks, or mask works.

B. As to Joint Intellectual Property Rights, in which BSRA has a joint ownership interest, the Subcontractor agrees to negotiate in good faith with BSRA a Memorandum of Agreement to resolve issues of participation in protection and commercialization.

1.36. **SCIENTIFIC AND TECHNICAL INFORMATION**

A. Electronic submissions of technical reports will consist of two virus-free copies that are readable in the following formats:

(1.) Text will be submitted in native software (that is compatible with the suite of document creation software currently used at SRS) (fonts identified) or in RTF (rich text format).

(2.) Embedded objects and files that are linked to a document must be supplied as well, as follows:

(a.) Raster images (for example, photographs) will be submitted as TIFF or EPS @ resolution>100 dpi.

(b.) Vector art (for example, line art) will be submitted as EPS images.

(c.) Data-driven displays (e.g., spreadsheet charts) must be accompanied by data set used to generate them.

1.37. **BANKRUPTCY**

A. In addition to the rights set forth in the "Termination" clauses incorporated into this Subcontract, the Company may terminate this Subcontract for default, in whole or in part, by written notice to Subcontractor/Supplier if either Subcontractor/Supplier becomes insolvent or makes a general assignment for the benefit of creditors, or a petition under any bankruptcy act or similar statute is filed by or against Subcontractor/Supplier and not vacated within ten (10) days after it is filed. If the Subcontractor enters into any proceeding relating to bankruptcy, it shall give written notice via certified mail to the BSRA Procurement Specialist within five (5) days of initiating the proceedings. The notification shall include the date on which the proceeding was filed, the identity and location of the court and a listing of the BSRA subcontract/order numbers for which final payment has not been made.

1.38. **ACCESS TO DOE-OWNED OR LEASED FACILITIES**

(Section applies if employees of Subcontractor will require physical access to DOE-Owned or Leased Facilities)

A. The performance of this Subcontract requires that employees of the Subcontractor have physical access to DOE-owned or leased facilities. The Subcontractor understands and agrees that DOE has a prescribed process with which the Subcontractor and its employees must comply in the Subcontract to receive a security badge that allows such physical access. The Subcontractor shall propose employees whose background offers the best prospect of obtaining a security badge approval for access. This clause does not control requirements for an employee obtaining a security clearance.

B. The Subcontractor shall assure:

(1.) Compliance with procedures established by DOE and BSRA in providing its employees with any forms directed by DOE or BSRA;

(2.) Employees properly complete any forms;

(3.) Employees submit the forms to the person designated by the BSRA Procurement Specialist;

(4.) Employees cooperate with DOE and BSRA officials responsible for granting access to DOE-owned or leased facilities; and

(5.) Employees provide additional information requested by those DOE/BSRA officials.

C. The Subcontractor understands and agrees that DOE may unilaterally deny a security badge to an employee and that the denial remains effective for that employee unless DOE subsequently determines that access may be granted. Upon notice from DOE or BSRA that an employee's application for a security badge is or will be denied, the Subcontractor shall promptly identify and submit the appropriate forms for the substitute employee. The denial of a security badge to individual employees by DOE shall not be cause for extension of the period of performance of this Subcontract or any Subcontractor claim against DOE or BSRA.

D. The Subcontractor shall return to the BSRA Procurement Specialist, or designee, the badge(s) or other credential(s) provided by DOE pursuant to this clause, granting physical access to DOE-owned or leased facilities by the Subcontractor's employee(s) upon:

(1.) Termination of the Subcontract;

(2.) Expiration of the Subcontract;

(3.) Termination of employment on this Subcontract by an individual employee; or

(4.) Demand by DOE/BSRA for return of the Badge

E. The Subcontractor shall include this clause, including this paragraph E. in any Lower tier Subcontract, awarded in the performance of this Subcontract, in which an employee(s) of the subtier subcontractor will require physical access to DOE-owned or leased facilities

1.39. **ACCEPTANCE OF TERMS AND CONDITIONS**

A. Seller, by signing this Subcontract or delivering the items identified herein, agrees to comply with all the terms and conditions and all specifications and other documents that this Subcontract incorporates by reference or attachment. BSRA hereby expressly objects to any terms and conditions contained in any acknowledgment of this Subcontract that are different from or in addition to those mentioned in this document. Failure of BSRA to enforce any of the provisions of this Subcontract shall not be construed as evidence to interpret the requirements of this Subcontract, nor a waiver of any requirement, nor of the

right of the BSRA to enforce each and every provision. All rights and obligations shall survive final performance of this Subcontract.

B. When the use of subtier subcontractors is determined to be applicable, the Subcontractor is responsible to flow down those technical and quality requirements that are determined to be applicable for the activities within Subcontractor's defined scope of work, in accordance with referenced codes/standards/material specifications, or other requirements identified within the procurement documents included with this Subcontract package. The Subcontractor is furthermore responsible to flow down all terms and conditions, including clauses, sections, and articles incorporated by reference, to all subtier subcontractors, which includes verification that the subtier subcontractor has been appropriately qualified to perform the activities required to satisfy this procurement. The Subcontractor must maintain objective evidence of the successful flow down of the referenced requirements and provide such evidence to BSRA upon request. This flow down is also required at all levels if the subtier subcontractor to the Subcontractor deems it necessary to subcontract further its parts of this BSRA contract.

C. When NQA-1 is invoked as the governing quality standard, the Subcontractor and applicable subtier subcontractors shall be required to meet the Part 1 Requirements (Sections 100 through 900, as determined to be applicable), as part of the agreement. NQA-1 Part II will be invoked at the discretion of BSRA and will be detailed as part of the agreement, and if invoked, must be flowed down from the Subcontractor to its applicable subtier subcontractors at all levels. If the Subcontractor or its subtier subcontractors intends to upgrade materials by way of a Commercial Grade Dedication Process, BSRA must be notified of this intent and the Subcontractor's process verified and approved prior to dedicating any material associated with an BSRA procurement.

D. The BSRA Procurement Specialist is to be notified in writing, within five working days of any changes within your company as identified below:

(1.) Key quality personnel to include as a minimum:

(a.) Quality Assurance/Quality Control Manager

(b.) Assistant Quality Assurance/Quality Control Manager

(c.) Other critical Quality Assurance/Quality Control personnel

(2.) Quality Assurance Program Revisions

(3.) Subcontractor ownership transfers, buy-outs, change-of -control, and

(4.) All identified Nonconformance or Corrective Action Reports associated with BSRA contracts including those issued concerning subtier subcontractors.

1.40. **COMPLIANCE WITH DIESEL EMISSION REDUCTION ACT (DERA)**

A. All diesel powered equipment bought on-site for ARRA work is required to burn ultra-low sulfur diesel fuel (.<< 15 ppm). Fuel certification will be available for inspection upon request. All pre-1996 model year non-road diesel engine equipment brought on-site for ARRA work shall be retrofitted with EPA verified control equipment. Equipment certification will be submitted prior to commencement of work.

B. SRS is implementing South Carolina State Transport Police (SCSTP) maximum idling regulation SCCL§56-35-10. All "self - propelled diesel motor vehicles licensed for use on a public roadway to transport passengers or property when the vehicle has a gross vehicle weight rating or gross combination weight rating of ten thousand and one pounds or more" shall be shut down if the idling period will exceed 10 consecutive minutes. Additional guidance on maximum idling time can be obtained at www.scstp.org.

1.41. **INSPECTION EXCEPTION FOR THORIATED TUNGSTEN ELECTRODES**

A. SRS has determined that thoriated tungsten electrodes will no longer be used in the manual gas tungsten arc welding (GTAW) process at SRS. This applies to the manual GTAW process only. For automatic GTAW the use of thoriated tungsten is allowed due to the dedicated grinding area and control of the process.

1.42. **DEFENSE PRIORITY AND ALLOCATION REQUIREMENTS**

A. This Subcontract is a/an _____ rated order certified for national defense, emergency preparedness, and energy program use, and the Subcontractor shall follow all the requirements of the Defense Priorities and Allocations System Regulation. (15 CFR 700). Should any applicable DPAS regulations pertaining to acceptance and rejection of rated orders (see 15 CFR 700.13), preferential scheduling (see 15 CFR 700.14), extension of priority ratings (see 15 CFR 700.15) changes or cancellations of priority ratings and rated orders (see 15 CFR 700.16) use of rated orders (see 15 CFR 700.17), and limitations on placing rated orders (see 15 CFR 700.18) conf lict with this Subcontract, then the DPAS will control. 15 CFR 700.17 provides an exemption for all rated orders less than $75,000, or one half of the Federal Acquisition Regulation (FAR) Simplified Acquisition Threshold,

(see FAR 2.101) whichever amount is larger, provided that delivery can be obtained in a timely fashion without the use of the priority rating.

B. This rating must be passed on to subtier subcontractors in all cases to ensure delivery of the items required. The Subcontract is rated (DO-E1 for Construction or DO-E2 f or Operations). Reference FAR 52.211-15.

1.43. **EXPORT CONTROL**

A. The Parties agree to adhere to all applicable U.S. export laws and regulations. Each party acknowledges that it is responsible for its own compliance with all U. S. export control laws and regulations.

1.44. **DOE O 442.2 – DIFFERING PROFESSIONAL OPINION FOR TECHNICAL ISSUES INVOLVING ENVIRONMENT, SAFETY, AND HEALTH**

A. Subcontractor and any subtier subcontractor are responsible for flowing down the requirements of the Contractor Requirements Document (CRD) identified in DOE O 442.2 to the extent necessary to ensure compliance with this requirement. The Subcontractors/ Suppliers and any subtier subcontractor must:

(1.) Ensure that all Subcontractor employees and any subtier subcontractor employees are notified quarterly that they have the right to report environment, safety and health technical concerns that have not been resolved through routine work processes through the Department of Energy Differing Professional Opinion (DPO) process (the DOE DPO process can be found in Attachment 2 to DOE O 442.2 and at http://www.hss.doe.gov/nuclearsafety/qa/dpo.html). The notification must provide points of contact (name, phone number and email addresses of DPO Managers) as listed on the DOE DPO web page, as well as the DOE DPO web page address.

(2.) Protect Subcontractor and any subtier subcontractor employees from reprisal or retaliation for reporting a DPO.

(3.) Provide Subcontractor and any subtier subcontractor employees' reasonable time and resources to use the DPO Process.

(4.) Assist DOE as requested in the resolution of the DPO.

(5.) Report to the DOE when requested on the status of assigned implementation actions resulting from the DPO resolution and on the closure of these implementations actions.

1.45. **CONTRACTOR REQUIREMENTS DOCUMENT DOE O 221.1B, REPORTING FRAUD, WASTE AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL**

Regardless of the performer of the work, the Subcontractor is responsible for complying with the requirements of this Contractor Requirements Document (CRD). This flowed down applies to subcontracts with a value of $5.5 million or more and with a period of performance of 120 days or longer.

Subcontractor and its subtier subcontractors must meet the following requirements

A. General Requirements

(1.) Subcontractor, and its subtier subcontractors, must not deter or dissuade employees from notifying an appropriate authority of actual or suspected violations of law, rule or regulation (including criminal acts under Title 18 of the United States Code, Crimes and Criminal Procedure); gross mismanagement; a gross waste of funds; serious threats to environment, safety, and health; and abuse of authority relating to DOE programs, operations, facilities, contracts, or information technology systems. Appropriate authorities include but are not limited to the Office of Inspector General (OIG), a supervisor, an Employee Concerns office, general counsel, security officials, the U.S. Government Accountability Office, outside law enforcement agency such as the Federal Bureau of Investigation (FBI) or State/local police.

(2.) Subcontractor, and its subtier subcontractors', employees are not expected to report allegations based on mere suspicion or speculation. When in doubt, officials are encouraged to contact a local OIG representative to determine whether reporting is necessary.

(3.) Individuals who contact the OIG are not required to reveal their identity to the OIG. However, persons who report allegations are encouraged to identify themselves in the event additional questions arise as the OIG evaluates or pursues their allegations. Confidentiality for DOE Federal employees is established by the Inspector General Act of 1978, section 7(b), which prevents the OIG from disclosing the identity of a DOE Federal employee who reports an allegation or provides information, without the individual's consent, unless the OIG determines that disclosure is unavoidable during the course of the investigation. Because of their unique role within DOE, the OIG also applies this provision to DOE facility management contractor employees. All others who report allegations are not automatically entitled to confidentiality. Such individuals may request confidentiality, which will be evaluated on a case-by-case basis.

(4.) Individuals who contact the OIG are encouraged to provide relevant and specific details of the issue, including the identity of the person, company, or organization alleged to have engaged in wrongdoing; a description of the alleged impropriety; the DOE facility and program affected by the alleged misconduct; Contract/Subcontract numbers; date(s) of alleged wrongdoing; how the complainant is aware of the alleged impropriety; the identity of potential witnesses; and the identity and location of supporting documentation.

(5.) The following issues are exempt from reporting to the OIG:

(a.) Threats of actual or imminent bodily injury or death (such as assault, arson, etc.). However, threats of actual or imminent bodily injury or death must be reported immediately to BSRA, site security, and Federal, State, or local law enforcement authorities in accordance with DOE or local site guidance.

(b.) Information about espionage. Information regarding espionage, including approaches made by representatives of other Governments for the commission of espionage or the collection of information, must be reported to the Department's Deputy Director of Counterintelligence and BSRA Counterintelligence.

(6.) The following issues may be reported to the OIG, but are routinely referred to other appropriate authorities:

(a.) Regulatory violations already submitted to or discovered by the Office of Enterprise Assessments;

(b.) Professional disagreements of opinion;

(c.) Non-compliance with internal office policies and procedures; policy disagreements;

(d.) Security infractions;

(e.) Employee grievances and disputes among employees;

(f.) Prohibited personnel practices;

(g.) Employee performance concerns, and minor conduct issues such as tardiness and other minor leave issues, insubordinate behavior and failure to follow instructions, and discourteous and unprofessional behavior;

(h.) Failure to pay legitimate debts;

(i.) Equal employment opportunity complaints (including sexual harassment complaints);

(j.) Classification appeals (related to both documents and personnel positions);

(k.) Theft of personal property; and

(l.) Off-duty conduct that does not involve DOE funds, programs, operations, facilities, subcontracts, or information technology systems.

B. SPECIFIC CONTRACTOR REQUIREMENTS.

(1.) In accordance with Federal Acquisition Regulation (FAR) clause 52.203- 13, the Subcontractor and its subtier subcontractors shall timely disclose, in writing, to the OIG whenever, in connection with the award, performance, or closeout of a DOE contract or any subcontract thereunder, the Subcontractor and its subtier subcontractors has credible evidence that a principal, employee, agent, or subtier subcontractor of the Subcontractor and/or its subtier subcontractors has committed:

(a.) A violation of Federal criminal law involving fraud, conflict of interest, bribery, or gratuity violations found in Title 18 of the U.S. Code; or

(b.) A violation of the civil False Claims Act, found in Title 31 of the U.S. Code

(2.) Notify Subcontractor's and its subtier subcontractors' employees annually of their duty to report actual or suspected violations of law, rule, or regulation outlined above.

(3.) Prominently display DOE OIG hotline posters within business segments performing work under a DOE Subcontract and at DOE work sites.

(4.) Subcontractor and its subtier subcontractors' personnel with appropriate authority may gather additional information prior to reporting the matter to the OIG, provided:

(a.) relevant information and documents are not altered, destroyed or hidden, and

(b.) personnel are not influenced in their recollection of events or discouraged or prohibited from contacting, or cooperating with, the OIG.

(5.) With the exceptions of traffic violations and thefts of personal property, ensure that criminal allegations or offenses involving DOE funds, programs, operations, facilities, subcontracts, or information technology systems that are reported to an outside law enforcement agency such as the FBI or state/local police are reported to the OIG within three (3) business days of making or becoming aware of such a report to ensure timely and appropriate coordination among law enforcement agencies with DOE jurisdiction.

(6.) Ensure that no nondisclosure policy, directive, form, or agreement is implemented or enforced that restricts Subcontractor' and its subtier subcontractors' employees from reporting information about actual or suspected violations of law, statute, or regulation involving fraud, waste, abuse, misuse, corruption, criminal acts, or mismanagement to the OIG.

(7.) Ensure that no Subcontractor and its subtier subcontractor employee with authority takes or threatens to take any action against any Subcontractor and its subtier subcontractor employee as a reprisal for making a whistleblower complaint or disclosing information in support of a whistleblower complaint to a supervisor, management official, the OIG or other appropriate authority.

(8.) Report to the OIG any credible evidence, including a credible statement from the alleged victim, that reprisal action is being or has been taken, or is threatened to be taken, against a Subcontractor and its subtier subcontractor employee for making a complaint or disclosing information to a supervisor, management official, the OIG, or other appropriate authority.

1.46. **CONTRACTOR REQUIREMENTS DOCUMENT DOE O 221.2A, COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL**

The Subcontractor and their subtier subcontractors must meet the following requirements.

A. GENERAL REQUIREMENTS

(1.) Subcontractor must ensure that their employees and subtier subcontractors cooperate fully and promptly with requests from the Office of Inspector General (OIG) for information and data relating to DOE programs and operations.

B. SPECIFIC REQUIREMENTS

(1.) Subcontractor must ensure that all their employees and subtier subcontractors understand that they must:

(a.) comply with requests for interviews and briefings and must provide affidavits or sworn statements, if so requested by an employee of the OIG so designated to take affidavits or sworn statements.

(b.) not impede or hinder another employee's or subtier subcontractor's cooperation with the OIG.

(c.) ensure that reprisals are not taken against DOE contractor or BSRA employees who cooperate with or disclose information to the OIG or other lawful appropriate authority.

1.47. **DOE O 486.1A, FOREIGN GOVERNMENT SPONSORED OR AFFILIATED ACTIVITIES**

A. Regardless of the performer of the work, the Subcontractor is responsible for complying with the requirements of this Section. The definitions found in Attachment 2 to DOE O 486.1A, referenced in and made a part of this Section, provide information applicable to subcontracts in which this Article is inserted. The Subcontractor is responsible for flowing down the requirements of this DOE Order and Section to subtier subcontractors, at any tier, to the extent necessary to ensure compliance. Subcontractor personnel participation in any Foreign Government-Sponsored Talent Recruitment Program of a Foreign Country of Risk is prohibited. Subcontractor Employee participation in any Other Foreign Government Sponsored or Affiliated Activity is restricted.

(1.) The Subcontractor shall be required to complete a PF-249 Certification form prior to execution of a subcontract, including any subsequent modifications; and on a recurring annual basis.

(2.) In addition to the PF-249 Certification Form the Subcontractor shall immediately notify BSRA upon identification or notification it or any of its personnel/subtiers are involved with A Foreign Government Sponsored Talent Program or Other Government Sponsored or Affiliated Activity.

(3.) The Subcontractor shall cooperate with BSRA/DOE to determine if any disclosed or otherwise identified activity falls within the boundaries of prohibited and/or restricted activities.

(4.) Upon notification to BSRA of potential activity the Subcontractor recognizes it may be required to stop performance of work under the subcontract during the investigatory period until a final determination is made and/or approval is granted by DOE, including a decision on any exemption request. The Subcontractor specifically

acknowledges that in the event it is required to delay performance of work as a result of compliance with this clause this may qualify as grounds for termination for cause in accordance with this Subcontract.

ARTICLE 2

ARTICLE APPLIES IF THE PRICE OF THIS SUBCONTRACT EXCEEDS $25,000.00

2.1. **REPORTING OF ROYALTIES**

A. If any royalty payments are directly involved in the Subcontract or are reflected in the process or charges under the Subcontract, Contractor agrees to report in writing to BSRA during the performance of the Subcontract and prior to its completion or final settlement the amount of any royalties or other payments paid or to be paid by it directly to others in connection with the performance of the Subcontract together with the names and addresses of licensors to whom such payments are made and either the patent numbers involved or such other information as will permit identification of the patents or other basis on which the royalties are to be paid. The approval of DOE or BSRA of any individual payments or royalties shall not preclude the Government or BSRA at any time from contesting the enforceability, validity or scope of, or title to, any patent under which a royalty or payment is made.

ARTICLE 3

ARTICLE APPLIES IF THE PRICE OF THIS SUBCONTRACT EXCEEDS $100,000.00

3.1. **SUSTAINABLE ACQUISITION PROGRAM**

A. Pursuant to Executive Order 13423, Strengthening Federal Environmental, Energy and Transportation Management, and Executive Order 13514, Federal Leadership in Environmental, Energy, and Economic Performance, the Department of Energy (DOE) is committed to managing its facilities in an environmentally preferable and sustainable manner that will promote the natural environment and protect the health and well-being of its Federal employees and subcontractor service providers. In the performance of work under this contract, the subcontractor/supplier shall provide its services in a manner that promotes the natural environment, reduces greenhouse gas emissions and protects the health and well-being of Federal employees, contract service providers and visitors using the facility.

B. Green purchasing or sustainable acquisition has several interacting initiatives. The Subcontractor must comply with initiatives that are current as of the contract award date. DOE may require compliance with revised initiatives from time to time. The Subcontractor may request an equitable adjustment to the terms of its contract using the procedures in the Changes clause of the contract. The initiatives important to these Executive Orders are explained on the following Government or Industry Internet Sites:

(1.) Recycled Content Products are described at http://epa.gov/cpg

(2.) Biobased Products are described at http://www.biopreferred.gov/

(3.) Energy efficient products are at http://energystar.gov/products for Energy Star products

(4.) Energy efficient products are at http://www.femp.energy.gov/procurement for FEMP designated products

(5.) Environmentally preferable and energy efficient electronics including desktop computers, laptops and monitors are at http://www.epeat.net the Electronic Products Environment Assessment Tool (EPEAT) the Green Electronics Council site.

(6.) Greenhouse gas emission inventories are required, including Scope 3 emissions which include Subcontractor emissions. These are discussed at Section 13 of Executive Order E. 13514 which can be found at http://www.archives.gov/federalregister/executive-orders/disposition.html

(7.) Non-Ozone Depleting Alternative Products are at http://www.epa.gov/ozone/strathome.html

(8.) Water efficient plumbing products are at http://epa.gov/watersense

C. The clauses at FAR 52.223-2, Affirmative Procurement of Biobased Products under Service and Construction Contracts, 52.223-15, Energy F. Efficiency in Energy Consuming Products, and 52.223-17 Affirmative Procurement of EPA- Designated Items in Service and Construction Contracts, require the use of products that have biobased content, are energy efficient, or have recycled content. To the extent that the services provided by the Subcontractor require provision of any of the above types of products, the Subcontractor must provide the energy efficient and environmentally sustainable type of product unless that type of product—

(1.) Is not available;

(2.) Is not life cycle cost effective or does not exceed 110% of the price of alternative items if life cycle cost data is unavailable (EPEAT is an example of lifecycle costs that have been analyzed by DOE and found to be acceptable at the silver and gold level);

(3.) Does not meet performance needs; or,

(4.) Cannot be delivered in time to meet a critical need.

D. In the performance of this contract, the Subcontractor shall comply with the requirements of Executive Order 13423, Strengthening Federal Environmental, Energy and Transportation Management, (http://www.epa.gov/greeningepa/practices/eo13423.html) and Executive Order 13514, Federal Leadership in Environmental, Energy, and Economic Performance (http://www.archives.gov/federal- register/executiveorders/ disposition.html). The Subcontractor shall also consider the best practices within the DOE Acquisition Guide, Chapter 23, Acquisition Considerations Regarding Federal Leadership in Environmental, Energy, and Economic Performance. This guide includes information concerning recycled content products, biobased products, energy efficient products, water efficient products, alternative fuels and vehicles, non-ozone depleting substances and other environmentally preferable products and services. This guide is available on the Internet at: http://management.energy.gov/documents/AcqGuid e23pt0Rev 1 .pdf.

E. In complying with the requirements of paragraph (c) of this clause, the Subcontractor shall coordinate its activities with and submit required reports through the Environmental Sustainability Coordinator or equivalent position. Reporting under this paragraph and paragraphs (f) and (g) of this clause is only required if the Subcontract offers subcontracting opportunities for energy efficient and environmentally sustainable products or services exceeding $100,000 in any contract year.

F. The Subcontractor shall prepare and submit performance reports, if required, using prescribed DOE formats, at the end of the Federal fiscal year, on matters related to the acquisition of environmentally preferable and sustainable products and services. This is a material delivery under the Subcontract. Failure to perform this requirement may be considered a failure that endangers performance of this Subcontract and may result in termination for default.

G. These provisions shall be flowed down only to first tier subcontracts exceeding the simplified acquisition threshold that support operation of the DOE facility and offer significant subcontracting opportunities for energy efficient or environmentally sustainable products or services. The Subcontractor, if subcontracting opportunities for sustainable and environmentally preferable products or services exceed the threshold in paragraph (e) of this clause, will comply with the procedures in paragraphs (c) through (e) of this clause regarding the collection of all data necessary to generate the reports required under paragraphs (c) through (e) of this clause, and submit the reports directly to the BSRA's Environmental Sustainability Coordinator at the supported facility. The Subcontractor will advise the Subcontractor if it is unable to procure energy efficient and environmentally sustainable items and cite which of the reasons in paragraph (c) of this clause apply. The reports may be submitted at the conclusion of the Subcontract term provided that the Subcontract delivery term is not multi-year in nature. If the delivery term is multi-year, the Subcontractor shall report its accomplishments for each Federal fiscal year in a manner and at a time or times acceptable to both parties. Failure to comply with these reporting requirements may be considered a breach of contract with attendant consequences

3.2. **EXECUTIVE ORDER 13423, STRENGTHENING FEDERAL ENVIRONMENTAL, ENERGY, AND TRANSPORTATION MANAGEMENT [OCT 2010]**

A. Since this contract involves Subcontractor operation of Government-owned facilities and/or motor vehicles, the provisions of Executive Order 13423 are applicable to the Subcontractor to the same extent they would be applicable if the Government were operating the facilities or motor vehicles. Information on the requirements of the Executive Order may be found at http://www.archives.gov/federal-register/executive-orders/ .

ARTICLE 4

ARTICLE APPLIES ONLY IF SPECIFIED IN THE SUBCONTRACT REGARDLESS OF SUBCONTRACT PRICE

4.1. **DEAR 970.5223-1 - INTEGRATION OF ENVIRONMENT, SAFETY AND HEALTH INTO WORK PLANNING AND EXECUTION**

A. For the purpose of this Section,

(1.) Safety encompasses environment, safety and health, including pollution prevention and waste minimization; and

(2.) Employees include Subcontractor and subtier subcontractor employees.

B. In performing work under this Subcontract, the Subcontractor and any subtier subcontractors, shall perform work safely, in a manner that ensures adequate protection for employees, the public, and the environment, and shall be accountable for the safe performance of work. The Subcontractor shall exercise a degree of care commensurate with the work and the associated hazards. The Subcontractor shall ensure that management of environment, safety and health ("ES&H") functions and activities becomes an integral, but visible part of the Subcontractor's work planning and execution processes. The Subcontractor shall, in the performance of work, ensure that:

(1.) Line management is responsible for the protection of employees, the public, and the environment. Line management includes those Subcontractor and subtier subcontractor employees managing or supervising employees performing work.

(2.) Clear and unambiguous lines of authority and responsibility for ensuring ES&H are established and maintained at all organizational levels.

(3.) Personnel possess the experience, knowledge, skills, and abilities that are necessary to discharge their responsibilities.

(4.) Resources are effectively allocated to address ES&H, programmatic, and operational considerations. Protecting employees, the public, and the environment is a priority whenever activities are planned and performed.

(5.) Before work is performed, the associated hazards are evaluated and an agreed upon set of ES&H standards and requirements are established which, if properly implemented, provide adequate assurance that employees, the public, and the environment are protected from adverse consequences.

(6.) Administrative and engineering controls to prevent and mitigate hazards are tailored to the work being performed and associated hazards. Emphasis should be on designing the work and/or controls to reduce or eliminate the hazards and to prevent accidents and unplanned releases and exposures.

(7.) The conditions and requirements to be satisfied for operations to be initiated and conducted are established and agreed-upon by BSRA and the Subcontractor. These agreed-upon conditions and requirements of the Subcontract are binding upon the Subcontractor. The extent of documentation and level of authority for agreement shall be tailored to the complexity and hazards associated with the work.

C. The Subcontractor and any subtier subcontractors shall manage and perform work in accordance with Section 4.2 or a documented Worker Protection Plan (WPP) that fulfills all conditions in paragraph B. of this Section to the degree specified in 4.3 or 4.4 as indicated applicable to this Subcontract Documentation in the Subcontract shall describe how the Subcontractor will:

(1.) Define the Work to be performed;

(2.) Identify and analyze hazards associated with the work;

(3.) Develop and implement hazard controls;

(4.) Perform work within controls; and

(5.) Provide feedback on adequacy of controls and continue to improve safety management.

D. The Subcontract shall describe how the Subcontractor will establish, document, and implement safety performance objectives, performance measures, and commitments in response to Subcontract requirements and funding limits while maintaining the integrity of the WPP. The Subcontract shall also describe how the Subcontractor will measure WPP effectiveness.

E. The Subcontractor shall submit to the BSRA Procurement Specialist documentation of its WPP for review and acceptance. The BSRA Procurement Specialist will establish dates for submittal, discussions, and revisions to the WPP. The BSRA Procurement Specialist will provide guidance on preparation, content, review, and acceptance of the WPP. On an annual basis, the Subcontractor shall review and update, for BSRA acceptance, its safety performance objectives, performance measures, and commitments consistent with, and in response to, Subcontract requirements, funding limits and direction. Resources shall be identified and allocated to meet the safety objectives and performance commitments as well as maintain

the integrity of the entire WPP. Accordingly, the Subcontract shall be integrated with the Subcontractor's business processes, as applicable to the Scope of Work contained in this Subcontract, for work planning, budgeting, authorization, execution, and change control.

F. The Subcontractor and any subtier subcontractors, shall comply with, and assist BSRA in complying with, ES&H requirements of all applicable laws and regulations, and applicable directives identified in the Section of this Subcontract on Laws, Regulations, and DOE Directives. The Subcontractor shall cooperate with Federal and non-Federal agencies having jurisdiction over ES&H matters under this Subcontract.

G. The Subcontractor shall promptly evaluate and resolve any noncompliance with applicable ES&H requirements including those specified in the Subcontract. If the Subcontractor fails to provide resolution or, if at any time, the Subcontractor's acts or failure to act causes substantial harm or an imminent danger to the environment or health and safety of employees or the public, the BSRA Procurement Specialist may issue an order stopping work in whole or in part. Any stop work order issued by the BSRA Procurement Specialist under this Section (or issued by the Subcontractor to a subtier subcontractor) shall be without prejudice to any other legal or contractual rights of BSRA. In the event that the BSRA Procurement Specialist issues a stop work order, an order authorizing the resumption of the work may be issued at the discretion of the BSRA Procurement Specialist. The Subcontractor shall not be entitled to an extension of time or additional fee or damages by reason of, or in connection with, any work stoppage ordered in accordance with this Section.

H. BSRA shall hold the Subcontractor responsible for compliance with the ES&H requirements applicable to this Subcontract, including performance of work by any subtier subcontractors. In utilizing the Focused Observation Checklists, Subcontractor are required to forward any self- identified safety deficiencies to the STR. The STR will forward the deficiencies to BSRA Contractor Assurance for screening.

4.2. **ENVIRONMENT, SAFETY, AND HEALTH COMPLIANCE – CATEGORY A**

(Compliance by the Subcontractor with the requirements of this Section 4.2 shall satisfy any/all requirements of Section 4.1, "Integration of Environment, Safety and Health into Work Planning and Execution", applicable to the scope of work contained in this Subcontract)

A. The Subcontractor, and any subtier subcontractor, shall take all reasonable precautions in the performance of the work under this Subcontract to protect the environment, safety, and health of employees and members of the public. All work shall be performed to include subtier subcontracted work in compliance with all applicable BSRA/DOE environmental, safety, and health requirements, including DOE Regulation 10 CFR 851, "Worker Safety and Health Program", and orders, and procedures including related reporting requirements and all subsequent updates to OSHA and 10 CFR 851. Such procedures provide authority to BSRA employees to call a "time out/stop work" when unsafe conditions are observed and/or employee actions are likely to cause injury to themselves, other personnel, or cause damage to SRS property. The Subcontractor shall ensure that its employees, including subtier subcontractor employees are aware of this authority, and also have similar "time out/stop work" authority when performing work under this Subcontract. The BSRA Procurement Specialist shall notify the Subcontractor in writing of any noncompliance with the provisions of this Section and the corrective action to be taken. After receipt of such notice, the Subcontractor shall immediately take corrective action. In the event that the Subcontractor fails to take corrective action and comply with said BSRA/DOE regulations, requirements and procedures the BSRA Procurement Specialist may, without prejudice to any other legal or contractual rights of BSRA, issue an order stopping work in whole or in part. An order authorizing the resumption of work may be issued at the discretion of the BSRA Procurement Specialist. The Subcontractor shall not be entitled to an extension of time or additional fee or damages by reason of, or in connection with, any work stoppage ordered in accordance with this Section.

B. Prior to the start of work under this Subcontract, the Subcontractor shall provide to the BSRA Procurement Specialist a completed Prequalification Checklist for review and acceptance by the appropriate contractor's organization(s). The Prequalification Checklist shall contain the following minimum requirements, as applicable:

(1.) letter acknowledging a Corporate Safety and Health Policy and confirmation of compliance with BSRA procedures. In addition, for any tasks identified in the Statement of Work as outside the scope of BSRA procedures, the Subcontractor shall provide to the BSRA Procurement Specialist for BSRA review and acceptance any appropriate documentation, procedures or manuals containing task hazard reviews and safeguards to be implemented. Whenever a significant change or addition is made to such documentation, procedures or manuals, the Subcontractor shall re-submit the revised document to BSRA for review and acceptance. Examples of significant changes include any requirement deletions, additional scope added, total re-write or major revision. Additionally, the Subcontractor must submit annually to BSRA either an update to the documentation, procedures or manuals for acceptance or a letter stating that no changes are necessary in the current accepted documents.

(2.) EMR & TRC Worksheet

(3.) Safety and Industrial Hygiene Representation: The Subcontractor shall designate required representation as specified in the Subcontract. The designation must include qualifications and duties.

(4.) Insurance Confirmation of EMR Rate

(5.) OSHA 300 Logs/Summaries

(6.) Focused Observation Checklists

(7.) Designated Local Medical Provider

(8.) Assigned Competent Person (ACP): The Subcontractor shall designate in writing an Assigned Competent Person (ACP), and alternates, who will be responsible for SRS perimeter barricade escort and safety orientation for non-badged material/equipment delivery personnel and other non- badged Subcontractor personnel seeking temporary badges in support of the Subcontractor's work scope. The ACP shall be a responsible employee, cognizant of the Subcontract scope and all applicable environmental, safety and health requirements, including any focused observation safety checklists. The ACP shall furnish an advance copy of applicable focused observation safety checklists to any non-badged temporary personnel anticipating entry onto SRS, and shall meet entering personnel at the BSRA Badging Office, Building 703-46A when they report for temporary badging. The ACP and entering personnel shall review the scope of work to be performed and upon arrival at the work site review, complete and date any applicable focused observation safety checklist(s). For material/equipment deliveries, the ACP shall review any applicable focused observation safety checklists with delivery personnel, including specific safety measures required for loading/unloading in accordance with OSHA.

C. Equipment Safety

(1.) The Subcontractor shall ensure that major equipment used in the performance of work under this Subcontract is inspected, operated and maintained by qualified competent personnel. As confirmation, the Subcontractor shall complete Form PF-44, Major Equipment Declaration, and (copy available on the BSRA Internet Home Page) and provide one (1) copy to the Subcontract Technical Representative (STR)/End User, prior to placing any such equipment in service on the Savannah River Site. Additionally, prior to performing any activity involving the loading, unloading, and transporting of self-propelled medium or heavy-duty equipment on the Savannah River Site, the Subcontractor shall complete the "Self-propelled Equipment Loading, Unloading & Transport Safety Review Checklist", copy available on the SNRL Home Page or from the Procurement Specialist, and provide a copy to the STR/END USER.

D. Site Reporting Requirements

(1.) The Subcontractor shall immediately notify the STR/END USER or BSRA Procurement Specialist of any event/condition that may require reporting to DOE. Further, the Subcontractor shall cooperate with any BSRA or DOE critique, analysis, or investigation and complete necessary reports for such events/conditions. Events/conditions that require reporting to DOE are defined in DOE Manual 231.1-2 (DOE M 231.1-2) and can include (but are not limited to):

(a.) Operational emergencies,

(b.) Occupational injury or illness (including exposures to hazardous substances in excess of allowable limits) and near misses,

(c.) Any on—the-job injury where an employee is taken offsite for something other than observation The notification requirement applies to any person who goes offsite for prompt medical treatment of any type. The mode of transportation (ambulance, personal vehicle, etc.) is not pertinent — any offsite transfers must be reported immediately,

(d.) Any violation of Lockout/Tagout controls where there are no credible barriers left between the worker, and the energy source regardless of whether or not there was an injury,

(e.) Fires/explosions,

(f.) Hazardous energy control failures,

(g.) Operations shutdown directed by management for safety response,

(h.) Environmental release of radioactive materials, hazardous substances, regulated pollutants, oil spills, etc.,

(i.) Violation of Federal Motor Carrier Safety Regulations or Hazardous Material Regulations,

(j.) Loss, damage, theft, or destruction to government property (including damage to ecological resources like wetlands, critical habitats, historical/archeological sites, etc.),

(k.) Spread of radioactive contamination or loss of control of radioactive materials,

(l.) Personnel radioactive contaminations or exposures, and

(m.) Violations of procedures.

(2.) Immediate notification is required of such events to ensure BSRA meets its commitment for thirty (30) minute notification to appropriate DOE authorities. The Subcontractor shall preserve conditions surrounding or associated with the event for continued investigation unless such actions interfere with establishing a safe condition. The Subcontractor and their employees shall not conceal nor destroy any information concerning noncompliance or potential noncompliance with the environment, safety and health requirements of this Subcontract.

4.3. **ENVIRONMENT, SAFETY, AND HEALTH COMPLIANCE – CATEGORY B**

(Compliance by the Subcontractor with the requirements of this Section 4.3 shall satisfy any/all requirements of Section 4.1, "Integration of Environment, Safety and Health into Work Planning and Execution", applicable to the scope of work contained in this Subcontract.)

A. The Subcontractor and any subtier subcontractors shall take all reasonable precautions in the performance of the work under this Subcontract to protect the environment, safety and health of employees and members of the public, and shall comply with OSHA and all other applicable federal, state and local regulatory requirements, including new DOE Regulation 10 CFR 851, "Worker Safety and Health Program" which will apply to any work performed on the Savannah River Site and all subsequent updates to OSHA and 10 CFR 851. The Subcontractor and any subtier subcontractor shall comply with site-specific ES&H requirements when specified in the Subcontract. The BSRA Procurement Specialist shall notify the Subcontractor in writing of any noncompliance with the provisions of this Section. After receipt of such notice, the Subcontractor shall immediately take corrective action. In the event that the Subcontractor fails to take corrective action and comply with said regulations and requirements, the BSRA Procurement Specialist may, without prejudice to any other legal or contractual rights of BSRA, issue an order stopping work in whole or in part. An order authorizing the resumption of work may be issued at the discretion of the Procurement Specialist. The Subcontractor shall not be entitled to an extension of time or additional fee or damages by reason of, or in connection with, any work stoppage ordered in accordance with this Section.

B. Prequalification Checklist Prior to the start of work under this Subcontract, Subcontractor shall provide to BSRA's Procurement Specialist a completed Prequalification Checklist for review and acceptance by the appropriate contractor's organization(s). The Prequalification Checklist shall contain the following minimum requirements, as applicable:

(1.) (WPP) Worker Protection Plan Checklist

(2.) EMR & TRC Worksheet

(3.) Safety and Industrial Hygiene Representation: The Subcontractor shall designate required representation as specified in the Subcontract. The designation must include qualifications and duties.

(4.) Worker Protection Plan (WPP) and Task Specific Plan (TSP): The Subcontractor shall possess and maintain a corporate WPP which implements the requirements applicable to the normal course of the Subcontractor's business. Prior to the start of work under this Subcontract, the Subcontractor shall provide to the BSRA Procurement Specialist a copy of the WPP and sample Task Specific Plans (TSP) for review and acceptance by the appropriate BSRA organization(s). Note: Subcontractor is responsible for conducting hazard analysis and documenting additional TSPs. Work under this Subcontract shall not commence until the WPP and TSPs have been accepted by BSRA. The Subcontractor shall provide a copy of the accepted WPP and TSP to any subtier subcontractor and shall ensure. Subcontractor employee's performing work at the site have access to the WPP document accepted by BSRA, and other standards, controls and procedures including DOE worker protection publications applicable to the workplace. The Subcontractor's employees and the employees of any subtier subcontractors shall comply with the WPP and TSPs in the performance of the work under this Subcontract. The Subcontractor shall provide mechanisms to involve workers in the development of WPP goals, objectives, and performance measures and in the identification and control of workplace hazards. Whenever a significant change or addition is made to the WPP, it shall be resubmitted to BSRA for review and acceptance. Examples of significant changes include any requirement deletions, additional scope added, total re-write or major revision. Additionally, the Subcontractor must submit annually to BSRA either an updated WPP for acceptance or a letter stating that no changes are necessary in the current accepted WPP.

(5.) Insurance Confirmation of EMR Rate

(6.) OSHA 300 Logs/Summaries

(7.) Focused Observation Checklists

(8.) Designated Local Medical Provider

(9.) Assigned Competent Person (ACP): The Subcontractor shall designate in writing an Assigned Competent Person (ACP), and alternates, who will be responsible for SRS perimeter barricade escort and safety orientation for non-badged material/equipment delivery personnel and other non-badged Subcontractor personnel seeking temporary

badges in support of the Subcontractor's work scope. The ACP shall be a responsible employee, cognizant of the Subcontract scope and all applicable environmental, safety and health requirements, including any focused observation safety checklists. The ACP shall furnish an advance copy of applicable focused observation safety checklists to any non-badged temporary personnel anticipating entry onto SRS, and shall meet entering personnel at the BSRA Badging Office, Building 703-46A when they report for temporary badging. The ACP and entering personnel shall review the scope of work to be performed and upon arrival at the work site review, complete and date any applicable focused observation safety checklist(s). For material/equipment deliveries, the ACP shall review any applicable focused observation safety checklists with delivery personnel, including specific safety measures required for loading/unloading in accordance with OSHA and the WPP.

C. Equipment Safety

(1.) The Subcontractor shall ensure that major equipment used in the performance of work under this Subcontract is inspected, operated and maintained by qualified competent personnel. As confirmation, the Subcontractor shall complete Form PF-44, Major Equipment Declaration, (copy available on the BSRA Internet Home Page) and provide one (1) copy to the Subcontract Technical Representative (STR)/End User, prior to placing any such equipment in service on the Savannah River Site. Additionally, prior to performing any activity involving the loading, unloading, and transporting of self-propelled medium or heavy-duty equipment on the Savannah River Site, the Subcontractor shall complete the "Self-propelled Equipment Loading, Unloading & Transport Safety Review Checklist", copy available on the SRNL Home Page or from the Procurement Representative, and provide a copy to the STR/END USER.

D. Safety Data Sheets

(1.) The Subcontractor shall provide the STR/END USER copies of Safety Data Sheets for all chemicals brought to SRS prior to the initial use of such chemicals. In addition, the Subcontractor shall provide the STR/END USER with a current inventory on a monthly basis for chemicals stored on-site for thirty (30) or more days per EPCRA/CERCLA. All chemicals stored on-site shall follow NFPA storage guidelines.

E. Environmental Compliance

(1.) The Subcontractor and any subtier subcontractors shall comply with all applicable environmental protection laws, Executive Orders, ordinances, regulations, directives, and codes. Upon request, the Subcontractor shall submit an Environmental Compliance Plan (ECP) outlining the methods proposed to address the environmental requirements specified in the scope of work. The ECP shall specify the person responsible for ensuring the requirements are met.

F. Site Reporting Requirements

(1.) The Subcontractor shall immediately notify the STR/END USER or BSRA Procurement Specialist of any event/condition that may require reporting to the DOE. Further, the Subcontractor shall cooperate with any BSRA or DOE critique, analysis, or investigation and complete necessary reports for such events/conditions. Events/conditions that require reporting to DOE are defined in DOE Manual 231.1-2 (DOE M 231.1-1-2) and can include (but are not limited to):

(a.) Operational emergencies,

(b.) Occupational injury or illness (including exposures to hazardous substances in excess of allowable limits) and near misses,

(c.) Any on—the-job injury where an employee is taken offsite for something other than observation. The notification requirement applies to any person who goes offsite for prompt medical treatment of any type. The mode of transportation (ambulance, personal vehicle, etc.) is not pertinent — any offsite transfers must be reported immediately,

(d.) Any violation of Lockout/Tagout controls where there are no credible barriers left between the worker, and the energy source regardless of whether or not there was an injury

(e.) Fires/explosions,

(f.) Hazardous energy control failures,

(g.) Operations shutdown directed by management for safety reasons,

(h.) Environmental release of radioactive materials, hazardous substances, regulated pollutants, oil spills, etc.

(i.) Violation of Federal Motor Carrier Safety Regulations or Hazardous Material Regulations,

(j.) Loss damage, theft, or destruction to government property (including damage to ecological resources like wetlands, critical habitats, historical/archeological sites, etc.),

(k.) Spread of radioactive contamination or loss of control of radioactive materials,

(l.) Personnel radioactive contaminations or exposures, and

(m.) Violations of procedures.

G. Immediate notification is required of such events to ensure BSRA meets its commitment for thirty (30) minute notification to appropriate DOE authorities. The Subcontractor shall preserve conditions surrounding or associated with the event for continued investigation unless such actions interfere with establishing a safe condition. The Subcontractor and their employees shall not conceal nor destroy any information concerning noncompliance or potential noncompliance with the environment, safety and health requirements of this Subcontract.

4.4. **ENVIRONMENT, SAFETY, AND HEALTH COMPLIANCE – CATEGORY C**

(Compliance by the Subcontractor with the requirements of this Section 4.4 shall satisfy any/all requirements of Section 4.1, "Integration of Environment, Safety and Health into Work Planning and Execution", applicable to the scope of work contained in this Subcontract.)

A. The Subcontractor and any subtier subcontractors shall take all reasonable precautions in the performance of the work under this Subcontract to protect the environment, safety and health of employees and members of the public, and shall comply with OSHA and all other applicable federal, state and local regulatory requirements, including new DOE Regulation 10 CFR 851, "Worker Safety and Health Program" which will apply to any work performed on the Savannah River Site and all subsequent updates to OSHA and 10 CFR 851. The Subcontractor and any subtier subcontractor shall comply with site-specific ES&H requirements when specified in the Subcontract. The BSRA Procurement Specialist shall notify the Subcontractor in writing of any noncompliance with the provisions of this Section. After receipt of such notice, the Subcontractor shall immediately take corrective action. In the event that the Subcontractor fails to take corrective action and comply with said regulations and requirements, the BSRA Procurement Specialist may, without prejudice to any other legal or contractual rights of BSRA, issue an order stopping work in whole or in part. An order authorizing the resumption of work may be issued at the discretion of the Procurement Specialist. The Subcontractor shall not be entitled to an extension of time or additional fee or damages by reason of, or in connection with, any work stoppage ordered in accordance with this Section.

B. Prequalification Checklist

(1.) Prior to the start of work under this Subcontract, Subcontractor shall provide to BSRA's Procurement Specialist a completed Prequalification Checklist for review and acceptance by the appropriate contractor's organization(s). The Prequalification Checklist shall contain the following minimum requirements, as applicable:

(a.) (WPP) Worker Protection Plan Checklist

(b.) EMR & TRC Worksheet

(c.) Safety and Industrial Hygiene Representation: The Subcontractor shall designate required representation as specified in the Subcontract. The designation must include qualifications and duties.

(d.) Worker Protection Plan (WPP): The Subcontractor shall possess and maintain a corporate Worker Protection Plan (WPP) which implements the requirements applicable to the normal course of the Subcontractor's business. Prior to the start of work under this Subcontract, the Subcontractor shall provide to the BSRA Procurement Specialist a copy of the WPP for review and acceptance by the appropriate BSRA organizations. The Subcontractor's employees and the employees of any subtier subcontractors, shall comply with the WPP in the performance of the work under this Subcontract. Work under the Subcontract shall not commence until the WPP has been received and accepted by BSRA. The Subcontractor shall provide a copy of the WPP to any subtier subcontractors and shall ensure Subcontractor employee's performing work at the site have access to the WPP document accepted by BSRA, and other standards, controls and procedures including DOE worker protection publications applicable to the workplace. The Subcontractor shall provide mechanisms to involve workers in the development of WPP goals, objectives, and performance measures and in the identification and control of workplace hazards. Whenever a significant change or addition is made to the WPP, it shall be resubmitted to BSRA for review and acceptance. Examples of significant changes include any requirement deletions, additional scope added, total re-write or major revision. Additionally, the Subcontractor must submit annually to BSRA either an updated WPP for acceptance or a letter stating that no changes are necessary in the current accepted WPP.

(e.) Insurance Confirmation of EMR Rate

(f.) OSHA 300 Logs/Summaries

(g.) Focused Observation Checklists

(h.) Designated Local Medical Provider

(i.) Assigned Competent Person (ACP): The Subcontractor shall designate in writing an Assigned Competent Person (ACP), and alternates, who will be responsible for SRS perimeter barricade escort and safety orientation for non-badged material/equipment delivery personnel and other non-badged Subcontractor personnel seeking temporary badges in support of the Subcontractor's work scope. The ACP shall be a responsible employee, cognizant of the Subcontract scope and all applicable environmental, safety and health requirements, including any focused observation safety checklists. The ACP shall furnish an advance copy of applicable focused observation safety checklists to any non-badged temporary personnel anticipating entry onto SRS, and shall meet entering personnel at the BSRA Badging Office, Building 703-46A when they report for temporary badging. The ACP and entering personnel shall review the scope of work to be performed and upon arrival at the work site review, complete and date any applicable focused observation safety checklist(s). For material/equipment deliveries, the ACP shall review any applicable focused observation safety checklists with delivery personnel, including specific safety measures required for loading/unloading in accordance with OSHA and the WPP.

C. Equipment Safety

(1.) The Subcontractor shall ensure that major equipment used in the performance of work under this Subcontract is inspected, operated and maintained by qualified competent personnel. As confirmation, the Subcontractor shall complete Form PF-44, Major Equipment Declaration, (copy available on the BSRA Internet Home Page) and provide one (1) copy to the Subcontract Technical Representative (STR)/End User, prior to placing any such equipment in service on the Savannah River Site. Additionally, prior to performing any activity involving the loading, unloading, and transporting of self-propelled medium or heavy-duty equipment on the Savannah River Site, the Subcontractor shall complete the "Self-propelled Equipment Loading, Unloading & Transport Safety Review Checklist", copy available on the SRNL Home Page at or from the Procurement Specialist, and provide a copy to the STR/END USER.

D. Safety and Health Representative

(1.) The Subcontractor shall designate a safety and health professional or representative, as specified in the Subcontract. The designation must include the person's qualifications and duties and be documented in the Subcontractor's Worker Protection Plan. A designated Safety Representative shall have a minimum of thirty (30) hours formal Safety and Health training in OSHA standards or one of the following certifications:

(a.) Occupational Hygiene and Safety Technician (OHST)

(b.) Construction Health and Safety Technician (CHST)

(c.) Safety Trained Supervisor (STS)

(d.) Safety Trained Supervisor Construction (STS-C)

(e.) Safety Management Specialist (SMS) and twelve (12) months experience in Safety & Health with an understanding of 29 CFR 1926 and 29 CFR 1910.

E. Safety Data Sheets

(1.) The Subcontractor shall provide the STR copies of Safety Data Sheets for all chemicals brought to SRS prior to the initial use of such chemicals. In addition, the Subcontractor shall provide the STR with a current inventory on a monthly basis for chemicals stored on-site for thirty (30) or more days per EPCRA/CERCLA. All chemicals stored on-site shall follow NFPA storage guidelines.

F. Environmental Compliance

(1.) The Subcontractor and any subtier subcontractors shall comply with all applicable environmental protection laws, Executive Orders, ordinances, regulations, directives, and codes. Upon request, the Subcontractor shall submit an Environmental Compliance Plan (ECP) outlining the methods proposed to address the environmental requirements specified in the scope of work. The ECP shall specify the person responsible for ensuring the requirements are met.

G. Site Reporting Requirements

(1.) The Subcontractor shall immediately notify the STR or BSRA Procurement Specialist of any event/condition that may require reporting to the DOE. Further, the Subcontractor shall cooperate with any BSRA or DOE critique, analysis, or investigation and complete necessary reports for such events/conditions. Events/conditions that

require reporting to DOE are defined in DOE Manual 231.1-2 (DOE M 231.1-1-2) and can include (but are not limited to):

(a.) Operational emergencies,

(b.) Occupational injury or illness (including exposures to hazardous substances in excess of allowable limits) and near misses,

(c.) Any on—the-job injury where an employee is taken offsite for something other than observation. The notification requirement applies to any person who goes offsite for prompt medical treatment of any type. The mode of transportation (ambulance, personal vehicle, etc.) is not pertinent — any offsite transfers must be reported immediately,

(d.) Any violation of Lockout/Tag out controls where there are no credible barriers left between the worker, and the energy source regardless of whether or not there was an injury

(e.) Fires/explosions,

(f.) Hazardous energy control failures,

(g.) Operations shutdown directed by management for safety reasons,

(h.) Environmental release of radioactive materials, hazardous substances, regulated pollutants, oil spills, etc.

(i.) Violation of Federal Motor Carrier Safety Regulations or Hazardous Material Regulations, and

(j.) Loss damage, theft, or destruction to government property (including damage to ecological resources like wetlands, critical habitats, historical/archeological sites, etc.),

(k.) Spread of radioactive contamination or loss of control of radioactive materials,

(l.) Personnel radioactive contaminations or exposures, and

(m.) Violations of procedures.

H. Immediate notification is required of such events to ensure BSRA meets its commitment for thirty (30) minute notification to appropriate DOE authorities. The Subcontractor shall preserve conditions surrounding or associated with the event for continued investigation unless such actions interfere with establishing a safe condition. The Subcontractor and their employees shall not conceal nor destroy any information concerning noncompliance or potential noncompliance with the environment, safety and health requirements of this Subcontract.

4.5. **FOR BSRA DIRECTED TECHNICAL PERFORMANCE**

A. Contractor shall cause its employee(s) to assign to BSRA all rights under the copyright in all works of authorship prepared at the direction of BSRA during the term of this Subcontract. Contractor shall include terms in its arrangements with its employee(s) to require such assignments to BSRA. To the extent that such works of authorship are considered to be works made for hire for Contractor, Contractor agrees to assign and does hereby assign all of its rights under the copyrights in such works to BSRA or the U. S. Government.

4.6. **SECURITY REQUIREMENTS (JUN 2009) DEAR 952.204-2 SECURITY**

NOTE: Applicable if under the terms of this Subcontract, Subcontractor's employees will be required to possess access authorizations (L or Q Security Clearance). As prescribed in 904.404(d) (1), the following clause shall be included in Subcontracts entered into under section 31 (research assistance, 42 U.S.C. 2051), or section 41 (ownership and operation of production facilities, 42 U.S.C. 2061) of the Atomic Energy Act of 1954, and in other Subcontracts which involve or are likely to involve classified information or special nuclear material

A. Responsibility. It is the Subcontractor's duty to protect all Classified Information, special nuclear material and other DOE property. Subcontractor shall, in accordance with DOE security regulations and requirements, be responsible for protecting all Classified Information and all classified matter (including documents, material and Special Nuclear Material) which are in the Subcontractor's possession in connection with the performance of work under this Subcontract against sabotage, espionage, loss or theft. Except as otherwise expressly provided in this Subcontract, the Subcontractor shall, upon completion or termination of this Subcontract, transmit to DOE any classified matter or Special Nuclear Material in the possession of the Subcontractor or any person under the Subcontractor's control in connection with performance of this Subcontract. If retention by the Subcontractor of any classified matter is required after the completion or termination of the Subcontract, the Subcontractor shall identify the items and classification levels and categories of matter proposed for retention, the reasons for the retention, and the proposed period of retention. If the retention is approved by the DOE

Contracting Officer, the security provisions of the Subcontract shall continue to be applicable to the classified matter retained. Special Nuclear Material shall not be retained after the completion or termination of the Subcontract.

B. Regulations. The Subcontractor agrees to comply with all security regulations and Subcontract requirements of DOE in effect on the date of award.

C. Definition of Classified Information. The term "Classified Information" means information that is classified as Restricted Data or Formerly Restricted Data under the Atomic Energy Act of 1954, or information determined to require protection against unauthorized disclosure under Executive Order 12958, Classified National Security Information, as amended, or prior Executive Orders, which is identified as National Security Information.

D. Definition of Restricted Data. The term "Restricted Data" means all data concerning design, manufacture, or utilization of atomic weapons; production of special nuclear material; or use of special nuclear material in the production of energy, but excluding data declassified or removed from the Restricted Data category pursuant to 42 U.S.C. 2162 [Section 142, as amended, of the Atomic Energy Act of 1954].

E. Definition of Formerly Restricted Data. The term "Formerly Restricted Data" means information removed from the Restricted Data category based on a joint determination by DOE or its predecessor agencies and the Department of Defense that the information: (1) relates primarily to the military utilization of atomic weapons; and (2) can be adequately protected as National Security Information. However, such information is subject to the same restrictions on transmission to other countries or regional defense organizations that apply to Restricted Data.

F. Definition of National Security Information. The term "National Security Information" means information that has been determined, pursuant to Executive Order 12958, Classified National Security Information, as amended, or any predecessor Executive Order, to require protection against unauthorized disclosure, and that is marked to indicate its classified status when in documentary form.

G. Definition of Special Nuclear Material. The term "Special Nuclear Material" means: (1) plutonium, uranium enriched in the isotope 233 or in the isotope 235, and any other material which, pursuant to 42 U.S.C. 2071 [section 51 as amended, of the Atomic Energy Act of 1954] has been determined to be special nuclear material, but does not include source material; or (2) any material artificially enriched by any of the foregoing, but does not include source material.

H. Access Authorizations of Personnel

(1.) The Subcontractor shall not permit any individual to have access to any Classified Information or Special Nuclear Material, except in accordance with the Atomic Energy Act of 1954, and the DOE's regulations and Subcontract requirements applicable to the particular level and category of Classified Information or particular category of Special Nuclear Material to which access is required.

(2.) The Subcontractor must conduct a thorough review, as defined at 48 CFR 904.401, of an uncleared applicant or uncleared employee, and must test the individual for illegal drugs, (BSRA to provide this testing), prior to selecting the individual for a position requiring a DOE access authorization.

I. A review must: verify an uncleared applicant's or uncleared employee's educational background, including any high school diploma obtained within the past five years, and degrees or diplomas granted by an institution of higher learning; contact listed employers for the last three years and the three listed personal references; conduct local law enforcement checks when such checks are not prohibited by state or local law or regulation and when the uncleared applicant or uncleared employee resides in the jurisdiction where the Subcontractor is located; and conduct a credit check and other checks as appropriate.

(1.) Subcontractor reviews are not required for an applicant for DOE access authorization who possesses a current access authorization from DOE or another Federal agency, or whose access authorization may be reapproved without a federal background investigation pursuant to Executive Order 12968, Access to Classified Information (August 4, 1995), Sections 3.3(c) and (d).

(2.) In collecting and using this information to make a determination as to whether it is appropriate to select an uncleared applicant or uncleared employee to a position requiring an access authorization, the Subcontractor must comply with all applicable laws, regulations, and Executive Orders, including those:

(a.) governing the processing and privacy of an individual's information, such as the Fair Credit Reporting Act, Americans with Disabilities Act (ADA), and Health Insurance Portability and Accountability Act; and

(b.) prohibiting discrimination in employment, such as under the ADA, Title VII and the Age Discrimination in Employment Act, including with respect to pre- and post-offer of employment disability related questioning.

(3.) In addition to a review, each candidate for a DOE access authorization must be tested to demonstrate the absence of any illegal drug (BSRA to provide this testing), as defined in 10 CFR Part 707.4. All positions requiring access authorizations are determined to be testing designated positions in accordance with 10 CFR Part 707. All

Employees possessing access authorizations are subject to applicant, random or for cause testing for use of illegal drugs. DOE will not process candidates for a DOE access authorization unless their tests confirm the absence from their system of any illegal drug.

(4.) When an uncleared applicant or uncleared employee receives an offer of employment for a position that requires a DOE access authorization, the Subcontractor shall not place that individual in such a position prior to the individual's receipt of a DOE access authorization, unless an approval has been obtained from the head of the cognizant local security office. If the individual is hired and placed in the position prior to receiving an access authorization, the uncleared employee may not be afforded access to classified information or matter or special nuclear material (in categories requiring access authorization) until an access authorization has been granted.

(5.) The Subcontractor must furnish to the head of the cognizant local DOE Security Office, in writing, electronically, the following information concerning each uncleared applicant or uncleared employee who is selected for a position requiring an access authorization:

(a.) The date(s) each Review was conducted;

(b.) Each entity that provided information concerning the individual;

(c.) A certification that the review was conducted in accordance with all applicable laws, regulations, and Executive Orders, including those governing the processing and privacy of an individual's information collected during the review;

(d.) A certification that all information collected during the review was reviewed and evaluated in accordance with the Subcontractor's personnel policies; and

(e.) The results of the test for illegal drugs (BSRA to provide this testing).

J. Criminal liability.

(1.) It is understood that disclosure of any Classified Information relating to the work or services ordered hereunder to any person not entitled to receive it, or failure to protect any Classified Information, Special Nuclear Material, or other Government Property that may come to the Subcontractor or any person under Subcontractor's control in connection with work under this Subcontract, may subject the Subcontractor, its agents, employees, or subtier subcontractors to criminal liability under the laws of the United States (see the Atomic Energy Act of 1954, 42 U.S.C. 2011 et seq.; 18 U.S.C. 793 and 794).

K. Foreign Ownership, Control, or Influence

(1.) The Subcontractor shall immediately provide the cognizant security office written electronic notice of any change in the extent and nature of foreign ownership, control or influence over the Subcontractor which would affect any answer to the questions presented in the Standard Form (SF) 328, Certificate Pertaining to Foreign Interests, executed prior to award of this Subcontract. In addition, any notice of changes in ownership or control which are required to be reported to the Securities and Exchange Commission, the Federal Trade Commission, or the Department of Justice shall also be furnished concurrently to the Contracting Officer.

L. If a Subcontractor has changes involving foreign ownership, control, or influence, DOE must determine whether the changes will pose an undue risk to the common defense and security. In making this determination, DOE will consider proposals made by the Subcontractor to avoid or mitigate foreign influences.

M. If the cognizant security office at any time determines that the Subcontractor is, or is potentially, subject to foreign ownership, control, or influence, the Subcontractor shall comply with such instructions as the DOE Contracting Officer shall provide in writing, electronically, to protect any Classified Information or Special Nuclear Material.

N. The DOE Contracting Officer may terminate this Subcontract for default either if the Subcontractor fails to meet obligations imposed by this clause or if the Subcontractor creates a foreign ownership, control, or influence situation in order to avoid performance or a termination for default. The DOE Contracting Officer may terminate this Subcontract for convenience if the Subcontractor becomes subject to foreign ownership, control, or influence and for reasons other than avoidance of performance of the Subcontract, cannot, or chooses not to, avoid or mitigate the foreign ownership, control, or influence problem.

O. Employment announcements.

(1.) When placing announcements seeking applicants for positions requiring access authorizations, the Subcontractor shall include in the written electronic vacancy announcement, a notification to prospective applicants that reviews, and tests for the absence of any illegal drug as defined in 10 CFR 707.4, will be conducted by the employer and a background investigation by the Federal government may be required to obtain an access authorization prior to employment, and that subsequent reinvestigations may be required. If the position is covered by the Counterintelligence Evaluation Program regulations at 10 CFR 709, the announcement should also

alert applicants that successful completion of a counterintelligence evaluation may include a counterintelligence-scope polygraph examination.

P. Flow down to any Subcontractor at any tier. The Subcontractor agrees to insert terms that conform substantially to the language of this clause, including this paragraph, in all subtier subcontracts under this Subcontract that will require any subtier subcontractor (at any tier) employees to possess access authorizations. Additionally, the Subcontractor must require such subtier subcontractors to have an existing DOD or DOE facility clearance or submit a completed SF 328, Certificate Pertaining to Foreign Interests, as required in DEAR 952.204-73 and obtain a foreign ownership, control and influence determination and facility clearance prior to award of a subtier subcontract. Information to be provided by a subtier subcontractor (at any tier), pursuant to this clause may be submitted directly to the DOE Contracting Officer.

4.7. **DEAR 952.204-71 SENSITIVE FOREIGN NATIONS CONTROLS (MAR 2011)**

The following is applicable in subcontracts which may involve making unclassified information about nuclear technology available to sensitive foreign nations

A. In connection with any activities in the performance of this subcontract, the Subcontractor agrees to comply with the "Sensitive Foreign Nations Controls" requirements attached to this Subcontract, relating to those countries, which may from time to time, be identified to the Subcontractor by written notice as sensitive foreign nations. The Subcontractor shall have the right to terminate its performance under this subcontract upon at least forty-five (45) days prior written notice to Battelle Savannah River Alliance, LLC (BSRA) procurement specialist if the Subcontractor determines that it is unable, without substantially interfering with its polices or without adversely impacting its performance to continue performance of the work under this Subcontract as a result of such notification. If the Subcontractor elects to terminate performance, the provisions of this Subcontract regarding "Termination" shall apply.

B. The provisions of this section shall be included in any of Subcontractor's contracts/agreements with a subtier subcontractor supporting Subcontractor's performance of this subcontract which may involve making unclassified information about nuclear technology available to sensitive foreign nations.

ARTICLE 5

ARTICLE APPLIES TO THE SUBCONTRACT UNLESS OTHERWISE SPECIFIED

5.1. **INCORPORATION BY REFERENCE**

A. Incorporation of Special Contract Requirements (Section H), FAR, DEAR Clauses, and DOE Orders and Directives.

(1.) The Special Contract Requirements (Section H) of the BSRA Prime Government Contract 89303321-CEM-000080 ("Prime Government Contract"), Federal Acquisition Regulations (FAR) and Department of Energy Acquisition Regulation Supplement (DEAR) clauses referenced below are incorporated herein, with the same force and effect as if they were given full text, and are applicable, including any notes following the clause citation, to this Subcontract.

(2.) The full text of any FAR or DEAR clause may be accessed electronically at the following addresses, respectively:

(a.) https://www.acquisition.gov/?q=browsefar

(b.) http://www.energy.gov/management/downloads/searchable-electronicdepartmentenergy-acquisition-regulation

(c.) The full text of any Section H clause may be accessed electronically at [https://www.energy.gov/srs/articles/battelle-savannah-river-alliance-llc-contract].

(3.) If the date or substance of any of the clauses listed in the Subcontract between Seller and BSRA or the date or substance of any clauses listed below is different than date or substance of the clause actually incorporated in the BSRA's Prime Contract No. 89303321-CEM-000080 referenced herein, the date or substance of the clause incorporated by said Prime Government Contract shall apply instead. The Contracts Disputes Act shall have no application to this Subcontract. Any reference to a "Disputes" clause shall mean the "Resolution of Disputes" clause of this Subcontract. Subcontractor shall flow down to its lower-tier subcontractors and suppliers all applicable Section H, FAR, or DEAR clauses and any other requirements of this Subcontract and applicable law so

as to enable and ensure that BSRA and Subcontractor comply with all applicable requirements of BSRA's Prime Contract.

(4.) All clauses that are not applicable to performance of this Subcontract are self-deleting. For certain clauses, BSRA has provided language describing the circumstances in which the Section H, FAR, or DEAR clauses apply to this Subcontract. This parenthetical language may not encompass all situations where the Section H, FAR, or DEAR clauses apply and Subcontractor is responsible for confirming whether the Section H, FAR, or DEAR Clauses are applicable to this Subcontract.

B. It is intended by the Parties that these Section H, FAR, or DEAR clauses shall apply to Subcontractor in such manner as is necessary to reflect the position of Subcontractor as a subcontractor to BSRA, and to insure Subcontractor's obligations to BSRA and to the Government, and to enable BSRA to meet its contractual obligations to the Federal Government.

5.2. **GOVERNMENT SUBCONTRACT**

A. This Subcontract is entered into by the parties in support of a U.S. government contract.

B. Where necessary to derive the proper meaning under any applicable FAR and DFARS clauses, the terms as used in the FAR and DEAR clauses referenced below, as applicable, have the following meaning:

(1.) "Commercial item" means a commercial item as defined in FAR 2.101.

(2.) "Contract" means this Subcontract.

(3.) "Contracting Officer" means the BSRA's Procurement Specialist.

(4.) "Contractor" and "Offeror" means the Seller, which is the party identified on the face of the Subcontract with whom BSRA is contracting, acting as the immediate subcontractor to BSRA.

(5.) "FAR" means the Federal Acquisition Regulation, used as Chapter 1 of Title 48, Code of Federal Regulations.

(6.) "Incumbent" or "previous contractor" means Savannah River Nuclear Solutions, LLC or SNRS, the company that performed as the contractor under the predecessor DOE M&O prime contract.

(7.) "Laboratory" or "Savannah River National Laboratory" or "SRNL" means the subject contract performance site composed of Government-owned and leased buildings and research facilities.

(8.) "Prime Contract" means the M&O contract between the U.S. government and Battelle Savannah River Alliance, LLC Contract No. 89303321-CEM-000080.

(9.) "Subcontract" means any contract placed by the Contractor or lower-tier subcontractors under this Subcontract.

C. As an exception to the foregoing, the terms "Government" and "Contracting Officer" do not change in the following circumstances:

(1.) when a right, act, authorization or obligation can be granted or performed only by the Government or a Government Contracting Officer or his/her duly-authorized representative;

(2.) in the phrases "Government Property," "Government-Furnished Property," "Government Furnished Material," and "Government-Owned Property;"

(3.) in the Patent Rights clauses incorporated therein, if any;

(4.) when title to property is to be transferred directly to the Government;

(5.) when access to proprietary financial information or other proprietary data is required, except as otherwise provided in this Subcontract; and

(6.) where specifically modified in this Subcontract.

D. Substitute the following party names in all FAR and DEAR clauses, as applicable:

(1.) "BSRA" for "agency," "government," "Department of Energy," "DOE," "Department," or "United States;" [or similar term];

(2.) "BSRA Subcontracting Representative" for "Contracting Officer," "Administrative Contracting Officer," and "ACO;" and

(3.) "Supplier" or "Subcontractor" for "Contractor" or "offeror."

E. Any communication/notification required under a FAR or DEAR clause from/to the Contractor to/from the Contracting Officer shall be made through BSRA, unless otherwise indicated.

5.3. **AMENDMENT REQUIRED BY PRIME CONTRACT**

A. The Parties hereby agree to amend this Section to include any additional or revised FAR/DEAR Clauses incorporated in BSRA's Prime Contract that are applicable to the performance of this Subcontract. Subcontractor agrees that, upon the request of BSRA, it will negotiate in good faith with BSRA relative to amendments to this Subcontract to incorporate additional provisions herein or to change provisions hereof, as BSRA may reasonably deem necessary in order to comply with the provisions of the BSRA Prime Contract or with the provisions of amendments to the BSRA Prime Contract. If any such amendment to this BSRA causes an increase or decrease in the cost of, or the time required for, performance of any part of the Services under this Subcontract, an equitable adjustment shall be made pursuant to the "Changes" clause of this Subcontract.

5.4. **SECTION H SPECIAL CONTRACT REQUIREMENTS OF BSRA PRIME GOVERNMENT CONTRACT**

A. CLAUSES INCORPORATED BY REFERENCE

(1.) The following Section H clauses apply to this Subcontract:

(2.) The following Section H clauses apply to this Subcontract:

H.04 DOE-H-7004	DEFENSE AND INDEMNIFICATION OF EMPLOYEES
H.05 DOE-H-7005	ADVANCE UNDERSTANDINGS REGARDING ADDITIONAL ITEMS OF ALLOWABLE AND UNALLOWABLE COSTS AND OTHER MATTERS (SEP 2017)
H.08 DOE-H-7009	ADDITIONAL DEFINITIONS (SEP 2017)
H.16 DOE-H-7018	EXTERNAL REGULATION (SEP 2017)
H.25 DOE-H-2080	WORKPLACE SUBSTANCE ABUSE PROGRAMS AT DOE SITES
H.26 DOE-H-7025	LABOR RELATIONS (SEP 2017) (REVISED)
H.29 DOE-H-7028	LOBBYING RESTRICTION (SEP 2017)
H.30 DOE-H-7029	INTELLECTUAL AND SCIENTIFIC FREEDOM (SEP 2017)
H.43 DOE-H-2075	PROHIBITION ON FUNDING FOR CERTAIN NONDISCLOSURE AGREEMENTS (OCT 2014)
H.45 DOE-H-2021	WORK STOPPAGE AND SHUTDOWN AUTHORIZATION (OCT 2014) (REVISED)
H.50 DOE-H-2045	CONTRACTOR COMMUNITY COMMITMENT (OCT 2014)
H.53 DOE-H-2053	WORKER SAFETY AND HEALTH PROGRAM IN ACCORDANCE WITH 10 CFR 851 (OCT 2014)
H.56 DOE-H-2064	USE OF INFORMATION TECHNOLOGY EQUIPMENT, SOFTWARE, AND THIRD-PARTY SERVICES – ALTERNATE II (OCT 2014)
H.57 DOE-H-2066	SAFEGUARDS AND SECURITY PROGRAM – ALTERNATE 1 (OCT 2014)
H.60 DOE-H-2073	RISK MANAGEMENT AND INSURANCE PROGRAMS (DEC 2014)
H.62 DOE-H-2047	FEDERAL HOLIDAYS AND OTHER CLOSURES (OCT 2014) (REVISED) (applicable to subcontracts where on-site work may be required and where site closure may impact or prevent subcontract work)
H.67 DOE-H-2062	PERSONAL IDENTITY VERIFICATION OF CONTRACTOR PERSONNEL - ALTERNATE I (OCT 2014) (applicable to all subcontracts that contain FAR 52.204- 9, Personal Identity Verification of Contractor Personnel)
H.68 DOE-H-2063	CONFIDENTIALITY OF INFORMATION (OCT 2014)
H.69 DOE-H-2069	PAYMENTS FOR DOMESTIC EXTENDED PERSONNEL ASSIGNMENTS (OCT 2014) (REVISED)

(3.) The following Section H clauses apply to this Subcontract, if the value of this Subcontract exceeds the **simplified acquisition threshold** (as defined in FAR 2.101):

H.48 DOE-H-2035	ORGANIZATIONAL CONFLICT OF INTEREST MANAGEMENT PLAN (OCT 2014) (REVISED) **(applicable to all subcontracts over SAT when subcontractor performance will involve advisory and assistance services, as defined in FAR 2.101.)**

(4.) The following Section H clauses apply to this Subcontract, if the value of this Subcontract exceeds $15,000:

H.10 DOE-H-7011	CONTRACTS FORMATERIALS, SUPPLIES, ARTICLES, AND EQUIPMENT EXCEEDING $15,000 (SEP 2017)

(5.) The following Section H clauses apply to this Subcontract as indicated:

H.07 DOE-H-7008	PRIVACY ACT RECORDS (SEP 2017) (applicable to services subcontracts and Subcontracts requiring "Systems of Records" for individuals)
H.11 DOE-H-7013	SOURCE AND SPECIAL NUCLEAR MATERIAL (SEP 2017) (applicable to subcontracts involving the control of and accounting for source and special nuclear material)
H.24 DOE-H-7024	WORKERS' COMPENSATION INSURANCE (SEP 2017) (applicable to services contracts)
H.32 DOE-H-7031	INFORMATION TECHNOLOGY ACQUISITIONS (SEP 2017) (applicable to information technology acquisitions)
H.49 DOE-H-2044	SAFETY DATA SHEET AVAILABILITY (OCT 2014) (REVISED) (applicable to subcontracts if the subcontract will require the delivery of hazardous materials as defined in FAR 23.301)
H.51 DOE-H-2048	PUBLIC AFFAIRS – CONTRACTOR RELEASES OF INFORMATION (OCT 2014)
H.55 DOE-H-2059	PRESERVATION OF ANTIQUITIES, WILDLIFE AND LAND AREAS (OCT 2014) (applicable to subcontracts involving work that may result in discovery or require protection of antiquities)
H.59 DOE-H-2072	USE OF GOVERNMENT VEHICLES BY CONTRACTOR EMPLOYEES (OCT 2014) (applicable to subcontracts where Government-owned and/or leased vehicles are contemplated to be provided for use by subcontractor employees)
H.65	N/A FACILITIES CAPITAL COST OF MONEY.
H.66	N/A WITHDRAWAL OF WORK
H.69 DOE-H-2069	PAYMENTS FOR DOMESTIC EXTENDED PERSONNEL ASSIGNMENTS (OCT 2014) (REVISED) (applies to all subcontracts in which travel will be reimbursed at cost)
H.73	N/A REAL PROPERTY ASSET MANAGEMENT (applicable to all subcontracts related to real property asset planning, real estate maintenance, disposition planning, long-term stewardship and value engineering)
H.77	N/A SITE SERVICES AND INTERFACE REQUIREMENTS MATRIX
H.84	H.84 Contractor's Obligations Regarding Funding Opportunity Announcement DE-FOA-0003612 Genesis Mission (July 2026) [MOD 213] (Full Text Shall be Attached to Subcontract When Applicable) (Applicable to Subcontracts whose funding is attributed to the Genesis Mission)

5.5. **FEDERAL ACQUISITION REGULATION (FAR) FLOWDOWNS**

A. CLAUSES INCORPORATED BY REFERENCE

(1.) The following FAR clauses apply to this Subcontract:

FAR 52.202-1	DEFINITIONS (NOV 2013)
FAR 52.203-3	GRATUITIES (APR 1984)
FAR 52.203-8	CANCELLATION, RESCISSION, AND RECOVERY OF FUNDS FOR ILLEGAL OR IMPROPER ACTIVITY (MAY 2014)
FAR 52.203-10	PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR IMPROPER ACTIVITY (MAY 2014)
FAR 52.203-19	PROHIBITION ON REQUIRING CERTAIN INTERNAL CONFIDENTIALITY SUBCONTRACTS OR STATEMENTS (JAN 2017)
FAR 52.204-13	SYSTEM FOR AWARD MANAGEMENT MAINTENANCE (OCT 2018)
FAR 52.204-18	COMMERCIAL AND GOVERNMENTENTITY CODE MAINTENANCE (JUL 2016)
FAR 52.204-19	"INCORPORATION BY REFERENCE OF REPRESENTATIONS AND CERTIFICATIONS (DEC 2014)" [(applicable to all subcontractors, notwithstanding particular subcontract type, unless subcontractor is not required to maintain SAM registration and CAGE codes)]
FAR 52.204-23	PROHIBITION ON CONTRACTING FOR HARDWARE, SOFTWARE, AND SERVICES DEVELOPED OR PROVIDED BY KASPERSKY LAB AND OTHER COVERED ENTITIES (AUG 2019)
FAR 52.204-25	PROHIBITION ON CONTRACTING FOR CERTAIN TELECOMMUNICATIONS AND VIDEO SURVEILLANCE SERVICES OR EQUIPMENT (AUG 2019)
FAR 52.209-10	PROHIBITION ON CONTRACTING WITH INVERTED DOMESTIC CORPORATIONS (NOV 2015) [(applicable to all subcontractors, notwithstanding particular subcontract type)]

FAR 52.211-5	MATERIAL REQUIREMENTS (AUG 2000) (applicable to subcontracts requiring virgin material or supplies composed of or manufactured from virgin material)
FAR 52.211-15	"DEFENSE PRIORITY AND ALLOCATION REQUIREMENT (APR 2008) (applicable to all subcontracts that are rated orders under DPAS)
FAR 52.216-7	ALLOWABLE COST AND PAYMENT
FAR 52.219-8	UTILIZATION OF SMALL BUSINESS CONCERNS (OCT 2018)
FAR 52.219-28	POST AWARD SMALL BUSINESS PROGRAM RE-REPRESENTATION (JUL 2013) (applicable to all subcontractors that are small business concerns as defined in the clause,)
FAR 52.222-21	PROHIBITION OF SEGREGATED FACILITIES (APR 2015)
FAR 52.222-50	COMBATING TRAFFICKING IN PERSONS (JAN 2019) (Sub-section (h) of this clause only applies if the contract is for supplies, other than commercially available off -the-shelf items, acquired outside the United States, or services to be performed outside the United States; and has an estimated value that exceeds $550,000.)
FAR 52.225-13	RESTRICTIONS ON CERTAIN FOREIGN PURCHASES (JUN 2008)
FAR 52.227-1	AUTHORIZATION AND CONSENT (JUN 2020)
FAR 52.227-3	PATENT INDEMNITY (APR 1984)
FAR 52.244-6	SUBCONTRACTS FOR COMMERCIAL ITEMS (JAN 2019)
FAR 52.222-1	NOTICE TO THE GOVERNMENT OF LABOR DISPUTES (FEB 1997)
FAR 52.223-19	COMPLIANCE WITH ENVIRONMENTAL MANAGEMENTSYSTEMS (MAY 2011)
FAR 52.232-7	PAYMENTS UNDER TIME-AND-MATERIALS AND LABOR-HOUR CONTRACTS
FAR 52.232-40	PROVIDING ACCELERATED PAYMENTS TO SMALL BUSINESS SUBCONTRACTORS (DEC 2013) (This clause applies to contracts in which subcontractor is a small business concern.)
FAR 52.242-15	STOP-WORK ORDER (AUG 1989) - ALTERNATE I (APR 1984)
FAR 52.244-6	SUBCONTRACTS FOR COMMERCIAL ITEMS (JAN 2019)
FAR 52.246-9	INSPECTION OF RESEARCH AND DEVELOPMENT (SHORT FORM) (APR 1984)
FAR 52.249-14	EXCUSABLE DELAYS (APR 1984)
FAR 52.252-2	CLAUSES INCORPORATED BY REFERENCE (FEB 1998)
FAR 52.252-6	AUTHORIZED DEVIATIONS IN CLAUSES (APR 1984)
FAR 52.253-1	COMPUTER GENERATED FORMS (JAN 1991)

(2.) The following FAR clauses apply to this Subcontract, if the value of this Subcontract exceeds the **micro-purchase threshold** (as defined in FAR 2.101):

FAR 52.222-3	CONVICT LABOR (JUN 2003) (This clause applies to contracts above the micro- purchase threshold, when the contract will be performed in the United States, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, or the U.S. Virgin Islands; unless [1] the contract will be subject to 41 U.S.C. chapter 65, Contracts for Materials, Supplies, Articles, and Equipment Exceeding $15,000, which contains a separate prohibition against the employment of convict labor; [b] the supplies or services are to be purchased from Federal Prison Industries, Inc.; or [c] the acquisition involves the purchase, from any State prison, of finished supplies that may be secured in the open market or from existing stocks, as distinguished from supplies requiring special fabrication.)
FAR 52.223-18	ENCOURAGING CONTRACTOR POLICIES TO BAN TEXT MESSAGING WHILE DRIVING (AUG 2011)

(3.) The following FAR clauses apply to this Subcontract, if the value of this Subcontract exceeds the **simplified acquisition threshold** (as defined in FAR 2.101):

FAR 52.203-6	RESTRICTIONS ON SUBCONTRACTOR SALES TO THE GOVERNMENT (SEPT 2006)
FAR 52.203-7	ANTI-KICKBACK PROCEDURES (MAY 2014)
FAR 52.203-17	CONTRACTOR EMPLOYEE WHISTLEBLOWER RIGHTS ANDREQUIREMENT TO INFORM EMPLOYEES OF WHISTLEBLOWER RIGHTS (APR 2014)
FAR 52.215-2	AUDIT AND RECORDS – NEGOTIATION (OCT 2010) (Note that Alternate II [Aug 2016] applies if the Contractor is an educational institution/other non-profit organization)

FAR 52.215-14	FAR 52.215-14 INTEGRITY OF UNIT PRICES (OCT 2010)
FAR 52.222-54	EMPLOYMENT ELIGIBILITY VERIFICATION (OCT 2015) (This clause does not apply to contracts that are [1] only for work that will be performed outside the United States; [2] for a period of performance of less than 120 days; or [3] only for—(a) Commercially available off-the- shelf ("COTS") items; (b) items that would be COTS items, but for minor modifications [as defined at paragraph (3)(ii) of the definition of "commercial item" at 2.101]; (c) items that would be COTS items if they were not bulk cargo; or (d) Commercial services that are part of the purchase of a COTS item [or an item that would be a COTS item, but for minor modifications], performed by the COTS provider, and are normally provided for that COTS item.)

(4.) The following FAR clauses apply to this Subcontract, if the value of this Subcontract exceeds $10,000

FAR 52.222-40	NOTIFICATION OF EMPLOYEE RIGHTS UNDER THE NATIONAL LABOR RELATIONS ACT (DEC 2010)

(5.) The following FAR clauses apply to this Subcontract, if the value of this Subcontract exceeds $15,000:

FAR 52.225-8	DUTY-FREE ENTRY (OCT 2010)

(6.) The following FAR clauses apply to this Subcontract, if the value of this Subcontract exceeds $25,000:

FAR 52.222-36	EQUAL OPPORTUNITY FOR WORKERS WITH DISABILITIES (JUL 2014)

(7.) The following FAR clauses apply to this Subcontract, if the value of this Subcontract exceeds $30,000:

FAR 52.204-10	REPORTING EXECUTIVE COMPENSATION AND FIRSTTIER SUBCONTRACT AWARDS (OCT 2018) (This clause applies if this Subcontract is a first-tier subcontract award that exceeds $30,000)

(8.) The following FAR clauses apply to this Subcontract, if the value of this Subcontract exceeds $35,000:

FAR 52.209-6	PROTECTING THE GOVERNMENT'S INTEREST WHEN SUBCONTRACTING WITH CONTRACTORS DEBARRED, SUSPENDED, OR PROPOSED FOR DEBARMENT (OCT 2015)

(9.) The following FAR clauses apply to this Subcontract, if the value of this Subcontract exceeds $150,000:

FAR 52.222-35	EQUAL OPPORTUNITY FOR VETERANS (OCT 2015) (This clause applies unless the work is performed outside the United States by employees recruited outside the United States, or waived by the Director, Office of Federal Contract Compliance Programs of the U.S. Department of Labor or the head of the agency the prime contract supports.)
FAR 52.222-37	EMPLOYMENT REPORTS ON VETERANS (FEB 2016) (This clause applies to contracts containing the provision at FAR 52.222-35.)
FAR 52.203-12	LIMITATION ON PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (OCT 2010)

(10.) The following FAR clauses apply if the value of the Subcontract exceeds $750,000:

FAR 52.219-9	SMALL BUSINESS SUBCONTRACTING PLAN (AUG 2018) (DEVIATION) (Not applicable if subcontractor is a small business concern)

(11.) The following FAR clauses apply if the value of the Subcontract exceeds $2,000,000, unless otherwise specified in the prime contract:

FAR 52.215-12	SUBCONTRACTOR CERTIFIED COST OR PRICING DATA (OCT 2010) (Applicable only if not otherwise exempt under FAR 15.403)
FAR 52.215-13	SUBCONTRACTOR CERTIFIED COST OR PRICING DATA— MODIFICATIONS (OCT 2010) (Applicable only if not otherwise exempt under FAR 15.403)

(12.) The following FAR clauses apply to this Subcontract as indicated:

FAR 52.203-13	CONTRACTOR CODE OF BUSINESS ETHICS AND CONDUCT (OCT 2015) (This clause applies if the contract is expected to exceed $6 million and the performance period is 120 days or more. Disclosures made under this clause shall be made directly to the Government entities identified in the clause.)
FAR 52.203-14	DISPLAY OF HOTLINE POSTER(S) (OCT 2015) (This clause applies if the contract is expected exceed $5.5 million—or a lesser amount established by the applicable agency—and BATTELLE or the applicable agency has a fraud hotline poster, or the Prime Contract is funded with disaster assistance funds.)
FAR 52.203-16	PREVENTING PERSONAL CONFLICTS OF INTEREST (DEC 2011) (This clause applies to contracts that include a requirement for services by contractor employees that involve performance of acquisition functions closely associated with inherently governmental functions for, or on behalf of, a Federal agency or department.)
FAR 52.204-9	PERSONAL IDENTITY VERIFICATION OF CONTRACTOR PERSONNEL (JAN 2011) CONTRACTOR PERSONNEL - ALTERNATE I (OCT 2014) (This clause applies when subcontractor's employees may require routine physical access to a Federally-controlled facility and/or routine access to Federally-controlled information system.)
FAR 52.204-14	"SERVICE CONTRACT REPORTING REQUIREMENTS (OCT 2016) ((This clause applies to contracts for services that meet or exceed the thresholds at FAR 4.1703, except f or indefinite-delivery contracts.)
FAR 52.204-21	BASIC SAFEGUARDING OF COVERED CONTRACTOR INFORMATION SYSTEMS (JUN 2016) (This clause applies to contracts in which subcontractor may have federal contract information residing in or transiting through its information system.)
FAR 52.215-10	PRICE REDUCTION FOR DETECTIVE CERTIFIED COST OR PRICING DATA (AUG 2011) (Applicable if the submission of certified cost or pricing data is required)
FAR 52.215-11	PRICE REDUCTION FOR DEFECTIVE CERTIFIED COST OR PRICING DATA - MODIFICATIONS (AUG 2011) (Applicable if the submission of certified cost or pricing data is required for modifications)
FAR 52.215-15	PENSION ADJUSTMENTS AND ASSET REVERSIONS (OCT 2010) (Applicable if the submission of certified cost or pricing data is required or if any pre-award or post-award cost determination is subject to FAR Part 31)
FAR 52.215-18	REVERSION OR ADJUSTMENT OF PLANS FOR POST-RETIREMENT BENEFITS (PRB) OTHER THAN PENSIONS (JUL 2005) (Applicable if the Subcontract meets the applicability requirements of FAR 15.408(j))
FAR 52.215-19	NOTIFICATION OF OWNERSHIP CHANGES (OCT 1997) (Applicable if the Subcontract meets the applicability requirements of FAR 15.408(k))
FAR 52.215-23	LIMITATIONS ON PASS-THROUGH CHARGES (OCT 2009) (This clause applies to all cost-reimbursement contracts that exceed the simplified acquisition threshold and, if the contract is with DoD, all cost-reimbursement contracts and fixed-price contracts, except those identified in FAR 15.408(n)(2)(i)(B)(2), that exceed the threshold for obtaining cost or pricing data in FAR 15.403-4)
FAR 52.222-4	CONTRACT WORK HOURS AND SAFETY STANDARDS – OVERTIME COMPENSATION (MAY 2018) (This clause applies to contracts that may require or involve the employment of laborers or mechanics.)
FAR 52.222-41	SERVICE CONTRACT LABOR STANDARDS (AUG 2018) (Applies if the Subcontract is subject to the Service Contract Labor Standards statute)
FAR 52.222-55	MINIMUM WAGES UNDER EXECUTIVE ORDER 13658 (DEC 2015) (This clause applies to contracts that are subject to the Service Contract Labor Standards statute, or the Wage Rate Requirements [Construction] statute, and are to be performed in whole or in part in the United States.)
FAR 52.222-62	PAID SICK LEAVE UNDER EXECUTIVE ORDER 13706 (JAN 2017) (This clause applies to contracts that are subject to the Service Contract Labor Standards statute, or the Wage Rate Requirements [Construction] statute, and are to be performed in whole or in part in the United States.)
FAR 52.222-90	ADDRESSING DEI DISCRIMINATION BY FEDERAL CONTRACTORS (DEVIATION APR 2026)
FAR 52.223-2	AFFIRMATIVE PROCUREMENT OF BIOBASED PRODUCTS UNDER SERVICE AND CONSTRUCTION CONTRACTS (SEP 2013) (applies if the subcontract is for services or construction)

<table>
<tr><td>FAR 52.223-3</td><td>HAZARDOUS MATERIAL IDENTIFICATION AND MATERIAL SAFETY DATA (JAN 1997) (ALTERNATE I) (JULY 1995) (This clause applies to contracts that will require the delivery of hazardous materials, as defined in FAR 23.301.)
<table>
<tr><th>Material
(If none, Insert “None”)</th><th>Identification No.</th></tr>
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<tr><td>FAR 52.223-5</td><td>POLLUTION PREVENTION AND RIGHT-TO- KNOW INFORMATION (MAY 2011) (ALTERNATE I) (MAY 2011) (This clause applies to all contracts that provide for performance, in whole or in part, on a Federal facility.)</td></tr>
<tr><td>FAR 52.223-7</td><td>NOTICE OF RADIOACTIVE MATERIALS (JAN 1997) (All subcontracts for radioactive materials meeting the criteria in paragraph (a) of this clause.)</td></tr>
<tr><td>FAR 52.223-11</td><td>OZONE-DEPLETING SUBSTANCES AND HIGH GLOBAL WARMING POTENTIAL HYDROFLUOROCARBONS (JUN 2016) (This clause applies to contracts for refrigeration equipment; air conditioning equipment; clean agent fire suppression systems/equipment; bulk refrigerants and fire suppressants; solvents, dusters, freezing compounds, mold release agents, and any other miscellaneous chemical specialty that may contain ozone-depleting substances or high global warming potential hydrofluorocarbons; corrosion prevention compounds, foam sealants, aerosol mold release agents, and any other preservative or sealing compound that may contain ozone-depleting substances or high global warming potential hydrofluorocarbons; fluorocarbon lubricants ;and any other manufactured end products that may contain or be manufactured with ozone-depleting substances.)</td></tr>
<tr><td>FAR 52.223-12</td><td>MAINTENANCE, SERVICE, REPAIR, OR DISPOSAL OF REFRIGERATION EQUIPMENT AND AIR CONDITIONERS (JUN 2016) (applies to all subcontracts that include the maintenance, service, repair, or disposal of — (i) Refrigeration equipment, such as refrigerators, chillers, or freezers; or (ii) Air conditioners, including air conditioning systems in motor vehicles.)</td></tr>
<tr><td>FAR 52.223-13</td><td>ACQUISITION OF EPEAT® - REGISTERED IMAGING EQUIPMENT (JUN 2014) (Applies to subcontracts when imaging equipment will be—(i) Delivered; (ii) Acquired by the contractor for use in performing services at a Federally controlled facility; or (iii) Furnished by the contractor for use by the Government.)</td></tr>
<tr><td>FAR 52.223-14</td><td>ACQUISITION OF EPEAT® -REGISTERED TELEVISIONS (JUN 2014) (applies to subcontracts when televisions will be—(i) Delivered; (ii) Acquired by the contractor for use in performing services at a Federally controlled facility; or (iii) Furnished by the contractor for use by the Government.)</td></tr>
<tr><td>FAR 52.223-15</td><td>ENERGY EFFICIENCY IN ENERGY – CONSUMING PRODUCTS (DEC 2007) (applies to subcontracts when energy-consuming products listed in the ENERGY STAR® Program or FEMP will be—(i) Delivered; (ii) Acquired by the contractor for use in performing services at a Federally-controlled facility; (iii) Furnished by the contractor for use by the Government; or (iv) Specified in the design of a building or work, or incorporated during its construction, renovation, or maintenance.)</td></tr>
<tr><td>FAR 52.223-16</td><td>ACQUISITION OF EPEAT(R)-REGISTERED PERSONAL COMPUTER PRODUCTS (OCT 2015) (applies when personal computers will be—(i) Delivered; (ii) Acquired by the contractor for use in performing services at a Federally controlled facility; or (iii) Furnished by the contractor for use by the Government.)</td></tr>
<tr><td>FAR 52.223-17</td><td>AFFIRMATIVE PROCUREMENT OF EPA-DESIGNATED ITEMS IN SERVICE AND CONSTRUCTION CONTRACTS (AUG 2018) (applies when the subcontract performance involves use of EPA-designated items)</td></tr>
<tr><td>FAR 52.223-20</td><td>AEROSOLS (JUN 2016) (applies to subcontracts involving (i) products that may contain high global warming potential hydrofluorocarbons as a propellant, or as a solvent; or (ii) that involve maintenance or repair of electronic or mechanical devices.)</td></tr>
<tr><td>FAR 52.223-21</td><td>FOAMS (JUN 2016) (applies to Subcontracts involving (i) Products that may contain high global warming potential hydrofluorocarbons or refrigerant blends containing hydrofluorocarbons as a foam blowing agent, such as building foam insulation or appliance foam insulation; or (ii) Construction of buildings or facilities)</td></tr>
</table>

FAR 52.224-1	PRIVACY ACT NOTIFICATION (APR 1984) (This clause applies to contracts for the design, development, or operation of a system of records on individuals is required to accomplish an agency function.)
FAR 52.224-2	PRIVACY ACT (APR 1984) (This clause applies to contracts for the design, development, or operation of a system of records on individuals is required to accomplish an agency function.)
FAR 52.224-3	PRIVACY TRAINING (JAN 2017) (This clause applies to contracts under which subcontractor employees will [1] have access to a system of records; [2] Create, collect, use, process, store, maintain, disseminate, disclose, dispose, or otherwise handle personally identifiable information; or [3] Design, develop, maintain, or operate a system of records.)
FAR 52.225-1	BUY AMERICAN – SUPPLIES (MAY 2014) (Applicable if the Subcontract indicates the Buy American Act applies).
FAR 52.227-10	FILING OF PATENT APPLICATIONS – CLASSIFIED SUBJECT MATTER (DEC 2007) (This clause applies to contracts that cover or are likely to cover classified subject matter.)
FAR 52.229-8	TAXES -- FOREIGN COST-REIMBURSEMENT CONTRACTS (MAR 1990) (applicable when the contract is cost reimbursement type and to be performed wholly or partly in a foreign country)
FAR 52.230-2	COST ACCOUNTING STANDARDS (MAY 2018) (DEVIATION) ((This clause applies in negotiated contracts, unless the contract is exempted [pursuant to 48 CFR 9903.201-1], the contract is subject to modified coverage [pursuant to 48 CFR 9903.201-2], or the clause at FAR 52.230-4 is used.)
FAR 52.230-6	ADMINISTRATION OF COST ACCOUNTING STANDARDS (JUN 2010) (Applies if FAR 52.230-2 or FAR 52.230-3 applies)
FAR 52.232-17	INTEREST (MAY 2014) (This clause applies unless it is contemplated that the contract will be in one or more of the following categories: [1] Contracts at or below the simplified acquisition threshold; [2] Contracts with Government agencies; [3] Contracts with a State or local government or instrumentality; [4] Contracts with a foreign government or instrumentality; [5] Contracts without any provision for profit or fee with a nonprofit organization; [6] Contracts described in Subpart 5.5, Paid Advertisements; [7] Any other exceptions authorized under agency procedures.)
FAR 52.237-2	PROTECTION OF GOVERNMENT BUILDINGS, EQUIPMENT, AND VEGETATION (APR 1984) (This clause applies to contracts for services to be performed on Government installations, unless a construction contract is contemplated.)
FAR 52.237-3	CONTINUITY OF SERVICES (JAN 1991) (This clause applies when: [1] the services under the contract are considered vital to the Government and must be continued without interruption; [2] upon contract expiration, a successor, either the Government or another contractor, may continue them; and [3] the Government anticipates difficulties during the transition from one contractor to another or to the Government.)
FAR 52.242-3	PENALTIES FOR UNALLOWABLE COSTS (MAY 2014) (applies unless the subcontract is fixed priced without cost incentives or firm-fixed price for the purchase of commercial items)
FAR 52.245-1	GOVERNMENT PROPERTY (JAN 2017) (This clause applies to all cost-reimbursement and time-and-material type contracts; fixed-price contracts when the Government will provide Government property; and contracts or modifications awarded under FAR Part 12 procedures where Government property that exceeds the simplified acquisition threshold, as defined in FAR 2.101, is furnished or where the contractor is directed to acquire property for use under the contract that is titled in the Government.)
FAR 52.246-26	REPORTING NONCONFORMING ITEMS (DEC 2019) (applicable in subcontracts that are for—(i) Items subject to higher-level quality standards in accordance with the clause at FAR 52.246–11, Higher–Level Contract Quality Requirement; (ii) Items determined by the prime contractor to be critical items for which use of the clause is appropriate)
FAR 52.247-63	PREFERENCE FOR U.S. FLAG AIR CARRIERS (JUN 2003) (This clause applies whenever it is possible that U.S. Government-financed international air transportation of personnel [and their personal effects] or property will occur in the performance of the contract. This clause does not apply to contracts awarded using the simplified acquisition procedures in Part 13 or contracts for commercial items.)

FAR 52.247-64	PREFERENCE FOR PRIVATELY-OWNED U.S.-FLAGCOMMERCIAL VESSELS (FEB 2006) (This clause applies to contracts that may involve ocean transportation of supplies subject to the Cargo Preference Act of 1954.)
FAR 52.251-2	INTERAGENCY FLEET MANAGEMENT SYSTEM VEHICLES AND RELATED SERVICES (JAN 1991) (applicable to subcontracts where the use, service, and maintenance of fleet management system vehicles is implicated to ensure compliance with 41 CFR 101-39 and 41 CFR 101-38.301-1).

5.6. **DEPARTMENT OF ENERGY ACQUISITION REGULATIONS SUPPLEMENT (DEAR) FLOWDOWNS**

A. CLAUSES INCORPORATED BY REFERENCES

(1.) The following DEAR clauses apply to this Subcontract:

DEAR 952.202-1	DEFINITIONS (FEB 2011)
DEAR 952.203-70	WHISTLEBLOWER PROTECTION FOR CONTRACTOR EMPLOYEES (DEC 2000)
DEAR 952.251-70	CONTRACTOR EMPLOYEE TRAVEL DISCOUNTS (AUG 2009)
DEAR 970.5204-2	LAWS, REGULATIONS, AND DOE DIRECTIVES (DEC 2000)
DEAR 970.5204-1	COUNTERINTELLIGENCE (DEC 2010)
DEAR 970.5225-1	COMPLIANCE WITH EXPORT CONTROL LAWS AND REGULATIONS (NOV 2015)
DEAR 970.5226-3	COMMUNITY COMMITMENT (DEC 2000)
DEAR 970.5227-6	PATENT INDEMNITY – SUBCONTRACTS (DEC 2000)
DEAR 970.5227-8	REFUND OF ROYALTIES (AUG 2002)
DEAR 970.5229-1	STATE AND LOCAL TAXES (DEC 2000)
DEAR 970.5232-2	PAYMENTS AND ADVANCES (DEC 2000) (ALTERNATES II AND III) (DEC 2000)
DEAR 970.5232-4	OBLIGATION OF FUNDS (DEC 2000)
DEAR 970.5244-1	CONTRACTOR PURCHASING SYSTEM (AUG 2016) PF 2015-17 (DEVIATION MAR 2015)

(2.) The following DEAR clauses apply to this Subcontract if it is a first-tier subcontract and if the value of this Subcontract exceeds the simplified acquisition threshold (as defined in FAR 2.101):

DEAR 952.223-78	SUSTAINABLE ACQUISITION PROGRAM (OCT 2010) (applicable to subcontracts that support operation of the DOE facility and offer significant subcontracting opportunities for energy efficient or environmentally sustainable products or services.)
DEAR 970.5223-7	SUSTAINABLE ACQUISITION PROGRAM (OCT 2010) (ALTERNATE I Applies to construction work and to subcontracts that support operation of the DOE facility and offer significant subcontracting opportunities for energy efficient or environmentally sustainable products or services.)

(3.) The following DEAR clauses apply to this Subcontract, if the value of this Subcontract exceeds the simplified acquisition threshold (as defined in FAR 2.101):

DEAR 952.209-72	ORGANIZATIONAL CONFLICTS OF INTEREST (AUG 2009) ALTERNATE I (AUG 2009) (applies when subcontract performance will involve advisory and assistance services, as defined in FAR 2.101.)
DEAR 970.5227-4	AUTHORIZATION AND CONSENT (AUG 2002) (DEVIATION)
DEAR 970.5227-5	NOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT INFRINGEMENT (DEC 2000) (DEVIATION)

(4.) The following DEAR clauses apply to this Subcontract, if the value of this Subcontract exceeds $500,000:

DEAR 952.226-74	DISPLACED EMPLOYEE HIRING PREFERENCE (JUN 1997) (not applicable to subcontracts for commercial items)
DEAR 970.5226-2	WORKFORCE RESTRUCTURING UNDER SECTION 3161 OF THE NATIONAL DEFENSE AUTHORIZATION ACT FOR FISCAL YEAR 1993 (DEC 2000) (not applicable to subcontracts for commercial items)

(5.) The following DEAR clauses apply to this Subcontract as indicated:

DEAR 952.204-2	SECURITY (AUG 2016) (applicable to all subcontracts that will require subcontractor employees to possess access authorizations)
DEAR 952.204-70	CLASSIFICATION/DECLASSIFICATION (SEP 1997) (applicable to all subcontracts that require or may require access to classified information.)
DEAR 952.204-71	SENSITIVE FOREIGN NATIONS CONTROLS (MAR 2011) (applicable to all subcontracts that may involve making unclassified information about nuclear technology available to sensitive foreign nations.)
DEAR 952.204-77	COMPUTER SECURITY (AUG2006) (applicable to all subcontracts that may provide access to computers owned, leased or operated on behalf of the DOE.)
DEAR 952.211-71	PRIORITIES AND ALLOCATIONS (ATOMIC ENERGY) (APR 2008) (applies if the subcontract is rated under DPAS)
DEAR 952.217-70	ACQUISITION OF REAL PROPERTY (MAR 2011) (applicable when any subcontract under which an interest in real property will be acquired and costs will be reimbursed by the government.)
DEAR 952.223-75	PRESERVATION OF INDIVIDUAL OCCUPATIONAL RADIATION EXPOSURE RECORDS (APR 1984) (Applies to subcontracts if occupational radiation exposure records will be generated in the performance of work under the subcontract to ensure records can be maintained in accordance with regulations by applicable by this clause.)
DEAR 952.235-71	RESEARCH MISCONDUCT (JUL 2005) (Applicable to all subcontracts that involve research)
DEAR 952.247-70	FOREIGN TRAVEL (JUN 2010) (Applicable to all subcontracts that may involve foreign travel)
DEAR 952.250-70	NUCLEAR HAZARDS INDEMNITY SUBCONTRACT (AUG 2016) (applies when subcontract performance may involve the risk of public liability as defined in Atomic Energy Act that (i) arises out of or in connection with the activities under the contract, including transportation; and (ii) arises out of or results from a nuclear incident or precautionary evacuation, as those terms are defined in the Act.)
DEAR 970.5204-3	ACCESS TO AND OWNERSHIP OF RECORDS (OCT 2014) (DEVIATION) (applies to all subcontracts that contain the Radiation Protection and Nuclear Criticality clause at 952.223–72, or whenever an on-site subcontract scope of work (i) could result in potential exposure to: A) radioactive materials; B) beryllium; or C) asbestos or (ii) involves arisk associated with chronic or acute exposure to toxic chemicals or substances or other hazardous materials that can cause adverse health impacts, in accordance with 10 CFR part 851. In determining its f low-down responsibilities, the Contractor shall include the requirements of this clause in all on-site subcontracts where the scope of work is performed in: (A) Radiological Areas and/or Radioactive Materials Areas (as defined at 10 CFR 835.2); (B) areas where beryllium concentrations exceed or can reasonably be expected to exceed action levels specified in 10 CFR 850; (C) an Asbestos Regulated area(as defined at 29 CFR 1926.1101 or 29 CFR 1910.1001); or (D) a workplace where hazard prevention and abatement processes are implemented in compliance with 10 CFR 851.21 to specifically control potential exposure to toxic chemicals or substances or other hazardous materials that can cause long term health impacts.).
DEAR 970.5208-1	PRINTING (DEC 2000) (Applies to all subcontracts that require printing)
DEAR 970.5215-3	CONDITIONAL PAYMENTOF FEE, PROFIT, AND OTHER INCENTIVES – FACILITY MANAGEMENT CONTRACTS (AUG 2009) (Applies to subcontracts cost-type contracts that involve the payment of fee, profit, and incentives)
DEAR 970.5222-1	COLLECTIVE BARGAINING SUBCONTRACTS – MANAGEMENT AND OPERATING CONTRACTS (DEC 2000) (applies in all subcontracts for protective services or other services performed on the DOE owned site which will affect the continuity of operation of the facility.)
DEAR 970.5222-2	OVERTIME MANAGEMENT (DEC 2000) (Applies to all subcontracts where labor rates are reimbursed on the basis of actual costs or where any overtime premium may be incurred by subcontractor and where reimbursement from prime would be claimed.)
DEAR 970.5223-1	INTEGRATION OF ENVIRONMENT, SAFETY, AND HEALTH INTO WORK PLANNING AND EXECUTION (DEC 2000) (applies to all subcontracts involving complex or hazardous work on site at a DOE-owned or -leased facility and such subcontracts shall provide for the right to stop work under the conditions described in paragraph (g) of this clause.)

DEAR 970.5223-4	WORKPLACE SUBSTANCE ABUSE PROGRAMS AT DOE SITES (DEC 2010) (Applies to all subcontracts subject to the provisions of 10 CFR part 707)
DEAR 970-5227-1	RIGHTS IN DATA - FACILITIES (use in subcontracts in which technical data or computer software is expected to be produced or in subcontracts for supplies that contain a requirement for production or delivery of data in accordance with the policy)
DEAR 970.5227-2	RIGHTS IN DATA-TECHNOLOGY TRANSFER (DEC 2000) (DEVIATION) (Applies all subcontracts in which technical data or computer software is expected to be produced or in subcontracts for supplies that contain a requirement for production or delivery of data in accordance with the policy and procedures of FAR Part 27.)
DEAR 970.5227-10	PATENT RIGHTS – MANAGEMENT AND OPERATING CONTRACTS, NONPROFIT ORGANIZATION OR SMALL BUSINESS FIRM CONTRACTOR (AUG 2002) (ALTERNATES I AND II) (DEVIATION) (This clause will only be included in the contract if the awardee is a nonprofit organization or small business contractor.)
DEAR 952.227–11	PATENT RIGHTS—RETENTION BY THE CONTRACTOR (SHORT FORM) (MAR 1995) (applies if subcontractor is non-profit organization or small business firm subcontract and the subcontract is for experimental, developmental, demonstration or research work).
DEAR 952.227–13	PATENT RIGHTS—ACQUISITION BY THE GOVERNMENT (SEP 1997) (applies if subcontractor is other than non-profit organizations and small business firms and the subcontract is for experimental, developmental, demonstration or research work.)
DEAR 970.5232-2	PAYMENTS AND ADVANCES (DEC 2000) (ALTERNATES II AND III) (DEC 2000) (Applies to all cost reimbursable subcontracts.)
DEAR 970.5232-3	ACCOUNTS, RECORDS AND INSPECTIONS (DEC 2010) (applies to all subcontracts (including fixed-price or unit-price subcontracts or purchase orders) of any tier where, under the terms of the subcontract, costs incurred are a factor in determining the amount payable to the subcontractor.)
DEAR 970.5245-1	PROPERTY (AUG 2016) (ALTERNATE I) (AUG 2016) (Applies to all cost reimbursable subcontracts.)

5.7. **LIST OF APPLICABLE LAWS AND REGULATIONS (LIST A) / DOE DIRECTIVES (LIST B)**

A. Pursuant to DOE-H-7017 Application of DOE Contractor Requirements Documents (SEP 2017) and, DEAR 970.5204-2 Laws, Regulations, as incorporated into this Subcontract, the following list of applicable laws and regulations (List A)/DOE Directives (List B).

(1.) In addition to any other provisions in this Subcontract, Subcontractor agrees that it will comply with the all applicable laws and regulations and DOE directives. The DOE directives can be located electronically at https://www.directives.doe.gov/.

(2.) Subcontractor acknowledges that the DOE Directives made applicable to the performance of this Subcontract shall be identified on the face of the purchase order, subcontract, or ordering document issued by BSRA to the Subcontractor.

B. The federal, state, and local regulations found in the BSRA Prime Contract in the Section H clause entitled, DOE-H-7017 Application of DOE Contractor Requirements Documents, and Section I clause entitled, DEAR 970.5204-2 Laws, Regulations, and DOE directives, which have been incorporated in this Subcontract, constitute List A Applicable Federal, State, and Local Regulations. Omission of any applicable law or regulation from the Prime Contract and this Subcontract does not affect the obligation of the Subcontractor to comply with such law or regulation.

C. List B below contains a list of applicable DOE directives, followed by a list of implementing documents, that are required for this Subcontract. The DOE directives contain requirements relevant to the scope of work under this Subcontract. In most cases, the requirements applicable to the Subcontractor are contained in a Contractor Requirements Document (CRD) attached to the DOE directive. The Subcontractor is encouraged to continuously evaluate the work scope and contract requirements for opportunities to improve efficiency or creativity and propose alternative methods to those specified in the DOE directives.

D. The BSRA Contracting Officer may, from time-to-time via issuance of a Contract Administration Notice (CAN) or other means, revise the document titled, "BSRA PRIME CONTRACT DOE DIRECTIVES (LIST B)". The document can be found on the SRNL external web page. Use the following URL (https://www.srnl.gov/procurement).